

Aspects of Biothermodynamics (D)

- A Thermostatics & Thermodynamics
of Irreversible Processes
- B Biofluids (Theory)
- C Biofluids (Experiments)
- D Protein Adsorption
- E Microbioreactors / Bacteria
- F Technical Bioreactors

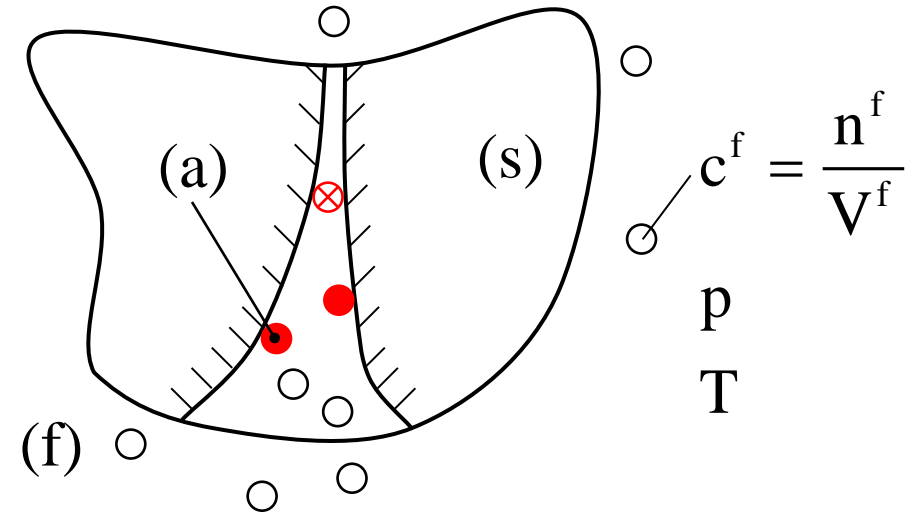
D Protein Adsorption

- D1 Thermodynamic Models
Equilibria (PAE), Kinetics
Multicontacts (MCA), Replacement
- D2 Aggregation of Proteins (PA)
Solidification
- D3 Denaturation of Proteins
Hydratization
- D4 Adsorption Induced Defolding Processes (AUP, PAS)
- D5 Adsorption Columns with Incomplete Breakthrough (BK)

Adsorption of Proteins (L)

- Enzyme immobilization
- Biosensors, Diagnostics
- Biofouling, Contamination
- Drug targeting,
controlled release
- Downstream processing
Chromatography

Basic Concepts



Stationary Phase

Sorbent: $V^s = 1 - \varepsilon V_{\text{part}}$

Mobile Phase

Sorbate a, ipf : $V^a = \varepsilon V_{\text{part}}$

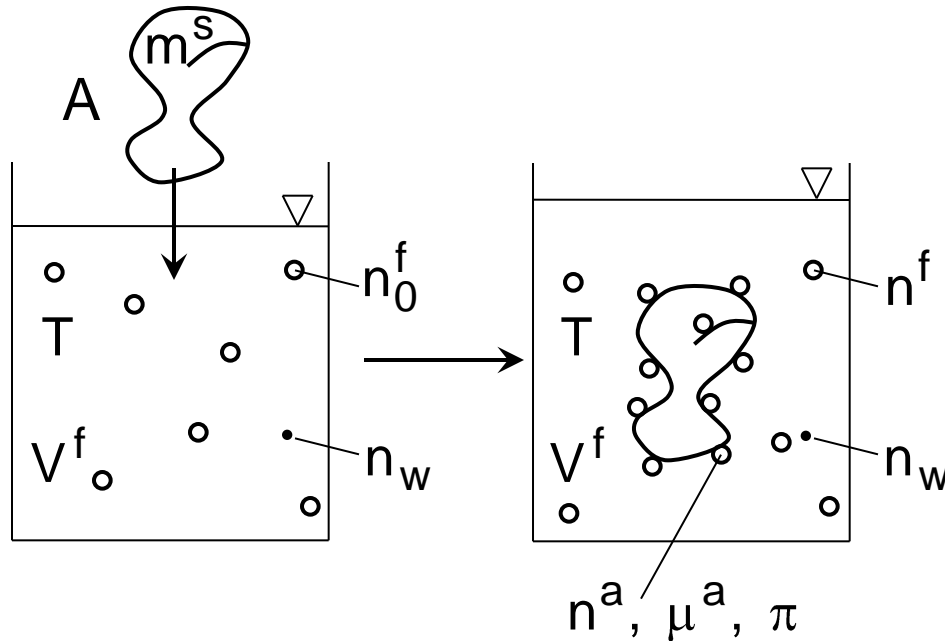
Sorptive epf : $V^f = V^* - V_{\text{part}}$

Porosity : $0 \leq \varepsilon \leq 1$

Liquid Phase Adsorption

Measurement methods (c_0, c):

- Spectroscopy
- Fluorescence
- Light scattering
- Light absorption
- Dielectric permittivity
- Calorimetry
- enthalpy, temperature
- heat capacity
- Release of ion, atomic groups etc. by protein upon adsorption



Protein molar balance

$$n_0^f = n^f + n^a \quad 1$$

$$n_0^f = c_0 V_0^f$$

$$n^f = c V^f$$

$$n^a = c_0 V_0^f - c V^f \quad 2 \quad \rightarrow$$

$$n^a = n^a \quad c, T, m^s \quad \dots \quad \text{Al} \quad 2a$$

Liquid Phase Adsorption Thermodynamics (1)

Adsorbate a , DoF:2

$$n^a, \mu^a, A, \pi, T$$

$$\text{EOS: } \pi = \pi(n^a, A, T) \quad 3$$

$$A = A(m^s, T)$$

$$dG^a = -S^a dT + A d\pi + \mu^a dn^a$$

Gibbs-Duhem

$$\underline{A d\pi = n^a d\mu^a} \quad 4$$

Sorptive phase f as solute n^f ,
in solvent n_w , DoF:3

$$n^f, p^f = \frac{n^f R T}{V^f} = c R T, T \quad 5$$

$$V^f = V^f(n_w, n^f, p, T) \quad 6$$

$$dG^f = -S^f dT + V^f dp + \mu^f dn^f$$

Liquid adsorption equilibria (LAE):

$$G = G^f + G^a \rightarrow \text{Min}$$

$$T = \text{const}, p^f = \text{const}$$

$$\pi = \text{const}, n_0^f = \text{const}$$

$$\underline{\mu^a(n^a, m^s, T) = \mu^f(p^f, T)} \quad 7$$

Liquid Phase Adsorption Thermodynamics (2)

Liquid adsorption equilibria (LAE)

Example

Ideal adsorbate

$$a : n^a R T = \pi A m^s, T \quad 3a$$

$$4 : \mu^a - \mu_0^a = R T \ln \left(\frac{n^a}{n_0^a} \right) \quad 4a$$

$$\text{LAE 7 : } \mu^a = \mu^f$$

Choice of ref. state

$$\mu_0^a = \mu^f p^+, T$$

$$7 \quad n^a = K T c$$

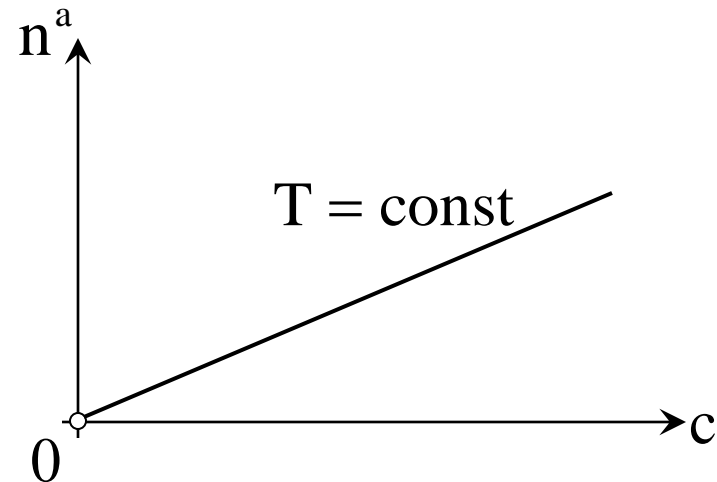
$$K T = n_0^a R T / p^+$$

Protein solved in water:

Dilute solution

Ideal fluid phase

$$f \quad \mu^f p^f, T = \mu^f p^+, T + R T \ln \left(\frac{p^f}{p^+} \right)$$



Protein Adsorption Isotherms (AI)*, N=1

Linear AI

$$\frac{n}{m^s} = K \cdot t \cdot \frac{n^f}{V^f} \quad 1$$

$$q = K c$$

Freundlich AI

$$\frac{n}{m^s} = \left[K \cdot t \cdot \frac{n^f}{V^f} \right]^\alpha, \quad \alpha > 0 \quad 2$$

$$q = K c^\alpha$$

$$K_T = K_\infty \sqrt{\frac{T_0}{T}} \exp \left(-\frac{q_{fa}}{RT} \right) \quad 3$$

Langmuir AI (1916)

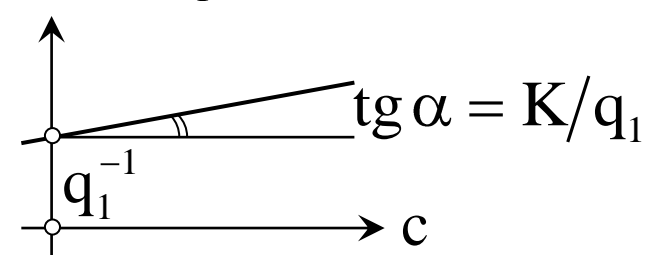
$$\frac{n}{m^s} = \frac{n_\infty}{m^s} \frac{K c}{1 + K c} \quad 4$$

$$q = q_1 \frac{K c}{1 + K c}$$

$$c = 1/K : q = q_1/2 \quad 5$$

Linear representation

$$\frac{K c}{q} = \frac{1}{q_1} (1 + K c) \quad 4a$$



*) Ref.: Keller J.U., Staudt R., Gas Adsorption Equilibria, Springer, 2005

Protein Adsorption Isotherms (AI), $N \geq 1$

Freundlich AI, $i, k = 1 \dots N$

$$\frac{n_i}{m^s} = \left[K_i \cdot t \cdot \frac{n_i^f}{V^f} \right]^{\alpha_i} \prod_{k \neq i} (1 - y_k)^{\alpha_{ik}} \quad 6$$

$$q_i = K_i \cdot c_i^{\alpha_i} \prod_{k \neq i} (1 - y_k)^{\alpha_{ik}}$$

$$y_k = \frac{c_k}{\sum_j c_j}, \quad k = 1 \dots N$$

Langmuir AI

$$\frac{n_i}{m^s} = \frac{n_{i\infty}}{m^s} \cdot \frac{K_i c_i}{1 + \sum_j K_j c_j} \quad 7$$

$$q_i = q_{i1} \cdot \frac{K_i c_i}{1 + \sum_j K_j c_j}$$

Representation Theorem (JUK)

$$n_i \cdot c_1 \dots c_N, T = \quad 8$$

$$= n_{\infty} \cdot T \left[\alpha_i \bar{c}_i^{\alpha_i} + \frac{f_i}{RT} \sum_{k=1}^N \alpha_k \bar{c}_k^{\alpha_k} \left(\frac{\partial q_k}{\partial p_i} \right) \right] \phi \cdot c^*$$

$$\bar{c}_i = \frac{f_i}{c_{i0}} \exp\left(\frac{q_i}{RT}\right)$$

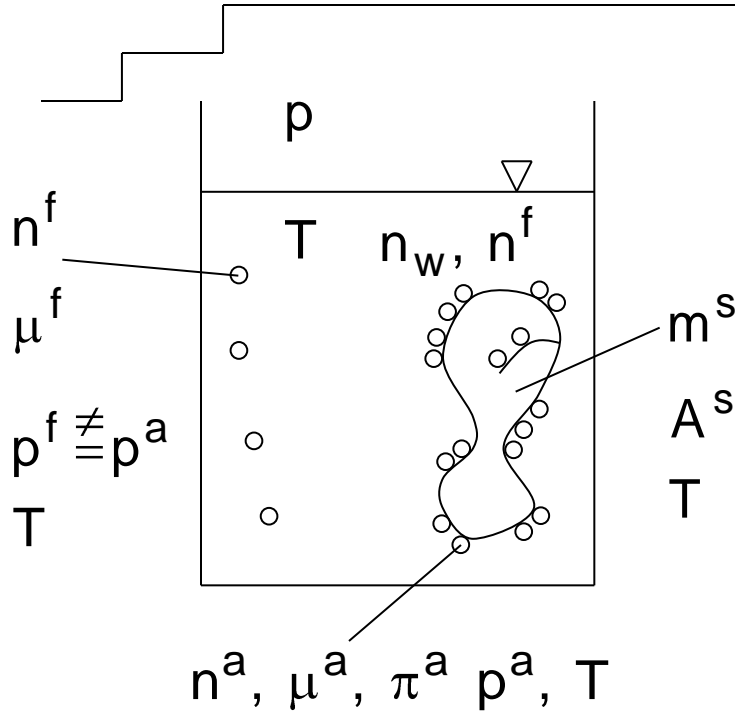
$$q_i = q_i \cdot f_1 \dots f_N, T$$

$$f_i = f_i \cdot c_1 \dots c_N, T$$

$$c^* = \sum_k \bar{c}_k^{\alpha_k}, \quad \alpha_i = \alpha_0 \left(\frac{r_i}{r_0} \right)^{-D}$$

$$\phi \cdot x > 0, \quad \frac{d}{dx} \phi \cdot x \geq 0$$

$$\text{Langmuir: } \phi \cdot x = \frac{1}{1+x}$$



Sorptive Sorbate Sorbent

Adsorption equilibrium:

$$\mu^f p^f, T = \mu^a \pi^a, T \quad 1$$

Protein Solution EOS

Protein/Water \cong Ideal gas molecule/Vacuum
Osmotic pressure, dilute solutions

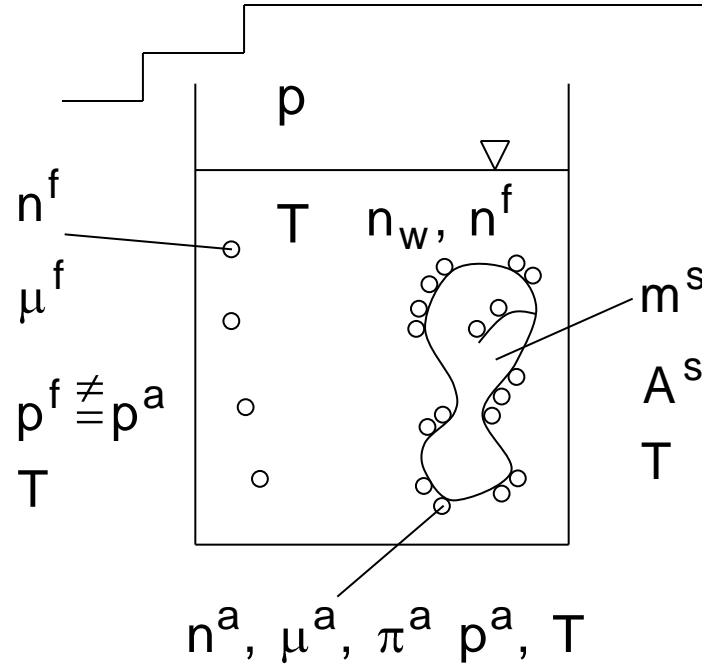
$$p^f = y^f p^* = y^f \frac{nRT}{V^f n^f, n_w, p, T} \quad 2$$

$$y^f = \frac{n^f}{n}, \quad n = n^f + n_w$$

$$\mu^f p^f, T = \mu^f p^+, T + RT \ln \left[\frac{f^f p^f, T}{p^+} \right] \quad 3$$

$$f^f p^f, T = p^f \left[1 + B T p^f + C T p^{f2} + \dots \right] \cong p^f \dots \text{dilute solutions} \quad 4$$

Mass Transfer Process (TIP)



$$\dot{n}^a = \alpha A^s \left[\mu^f p^f, T - \mu^a \pi^a, T \right] \quad 7$$

$$1 : \mu^a \pi^a, T = \mu^f p^a, T$$

p^a ... equiv. equilibrium pressure corresponding to n^a

$$7,3,4 : \dot{n}^a = \alpha A^s RT \ln p^f / p^a$$

$$2,6 : \dot{n}^a t = \alpha A^s RT \ln \left[\frac{y^f t p^* t}{\frac{1}{b} \cdot \frac{n^a / n_\infty^a}{1 - n^a / n_\infty^a}} \right]$$

$$\dot{n}^a + \alpha A^s RT \ln \left[\frac{1}{b} \cdot \frac{n^a / n_\infty^a}{1 - n^a / n_\infty^a} \right] = \alpha A^s RT \ln \left[y^f \left(\frac{p^* t}{p^a} \right)^* \right]$$

$n^a \left(\frac{p^* t}{p^a} \right)^* = n_0^a$... initial adsorption

Adsorption Isotherm / Equilibrium:

$$n^a = n_\infty^a T, m^s \frac{bp^a}{1+bp^a} \quad 5$$

$$p^a = \frac{1}{b} \cdot \frac{n^a / n_\infty^a T, m^s}{1 - n^a / n_\infty^a} \quad 6$$

Kinetics of Protein Adsorption (N=1), Thermodynamic Model (2)

Protein Solution EOS

Proteins/Water \cong Ideal gas mixture/Vacuum

Osmotic partial pressures (p_i^f), dilute solutions

$$p_i^f = y_i^f p^* = y_i^f \frac{nRT}{V} \quad 2$$

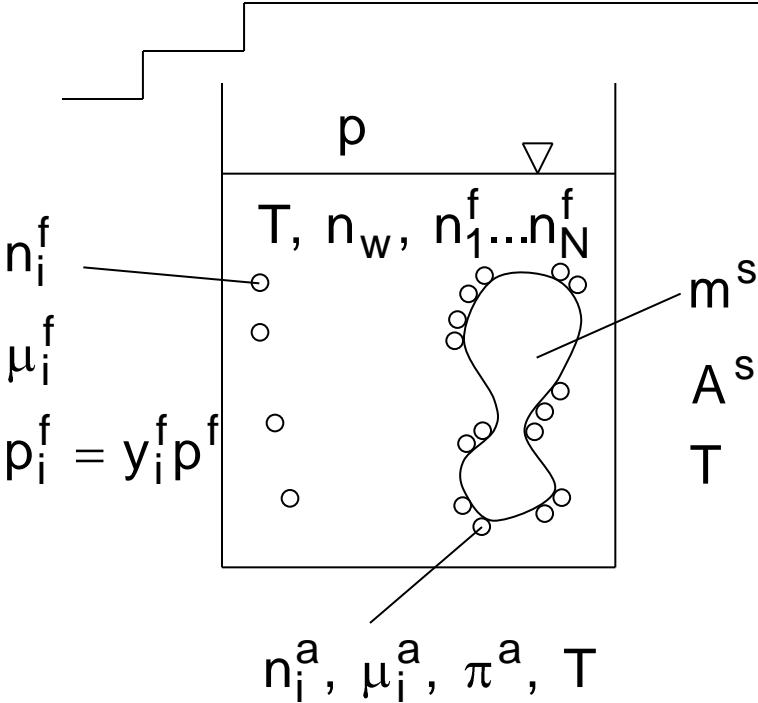
$$y_i^f = \frac{n_i^f}{n}, \quad n = n_w + \sum_k^N n_k^f$$

$$\mu_i^f(p^*, y_1^f \dots y_N^f, T) = \mu_{i0}^+(p^+, T) +$$

$$+ RT \ln \left[f_i \left(\frac{p^*, y_1^f \dots y_N^f, p^+, T}{p^+} \right) \right] \quad 3$$

$$f_i = p_i^f \left[1 + \sum_k^N B_{ik} \frac{p_k^f}{p^+} + \dots \right] \cong p_i^f$$

$$\mu_i^f = \mu_{i0}^+ + RT \ln y_i^f p^* / p^+ \quad 4$$



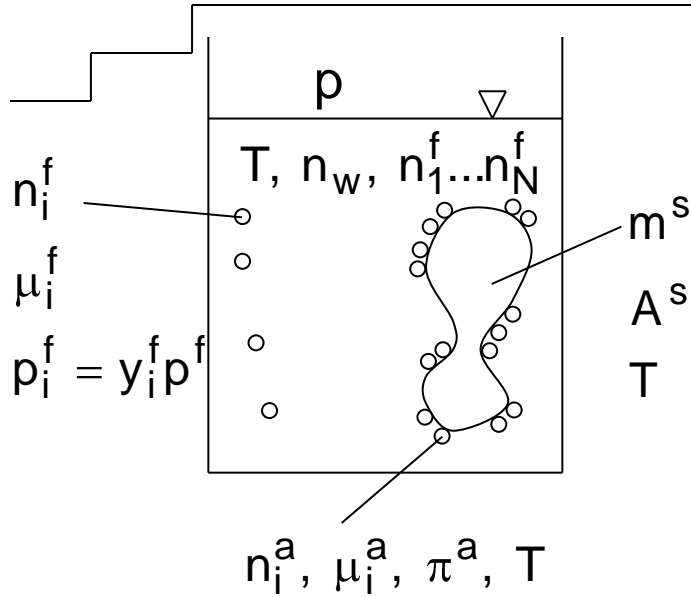
Sorptive Sorbate Sorbent

Adsorption Equilibria

$$\mu_i^f(p^f, y_1^f \dots y_N^f, T) = \mu_i^a(\pi^a, x_1 \dots x_N, T) \quad 1$$

$i = 1 \dots N$

Kinetics of Protein Adsorption ($N \geq 1$), Thermodynamic Model (3)



Sorptive Sorbate Sorbent

Mass Transfer Process (f↔a) (TIP)

$$\dot{n}_i^a = A^s \sum_{k=1}^N \alpha_{ik} \left[\mu_k^f p^*, y_1^f \dots y_N^f, T - \mu_k^a \pi^a, x_1 \dots x_N, T \right] \quad 7$$

Accompanying adsorption equilibria

$$\mu_k^a \pi^a, x_1 \dots x_N, T = \mu_k^f p^a, y_1^a \dots y_N^a, T \quad 8$$

$$7, 4, 8 : \quad \dot{n}_i^a = A^s RT \sum_{k=1}^N \alpha_{ik} \ln \left(\frac{y_k^f p^f}{y_k^a p^a} \right)$$

Adsorption Isotherm / Equilibria:

$$n_i^a = n_{i\infty}^a T, m^s \frac{b_i p_i^a}{1 + \sum_{k=1}^N b_k p_k^a}, \quad i = 1 \dots N \quad 5$$

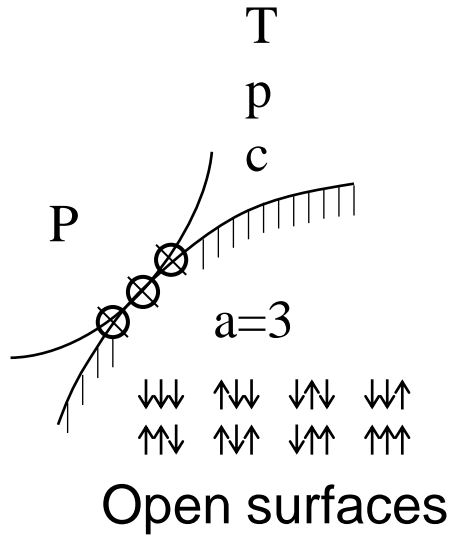
$$p_i^a = \frac{1}{b_i} \cdot \frac{n_i^a / n_{i\infty}^a}{1 - \sum_{k=1}^N n_k^a / n_{k\infty}^a} = y_i^a p^a \quad 6$$

$$6 : \dot{n}_i^a t = A^s RT \sum_{k=1}^N \alpha_{ik} \ln \left[\frac{y_k^f t p^* t}{b_k \cdot \frac{1}{1 - \sum_{l=1}^N n_l^a / n_{l\infty}^a}} \right] \quad i = 1 \dots N$$

$$n_i^a(0) = n_{i0}^a \quad \dots \quad \text{initial conditions} \quad 9$$

Kinetics of Protein Adsorption (N≥1), Thermodynamic Model (4), ODE

Multicontact Adsorption of Proteins from Aqueous Solution



Protein concentration: $c = \frac{n_p}{n_w + n_p}$

Single layer adsorption process

$$dn^+ = k_1^+ c n^s - a n dt$$

Desorption process

$$dn^- = k_1^- n \frac{1}{2^{a-1}} dt$$

Adsorption equilibria:

$$dn^+ = dn^-$$

$$n c = n^s \frac{\beta c}{1 + a \beta c}$$

$$\beta = 2^{a-1} k_1^+ / k_1^-$$

Half load concentration

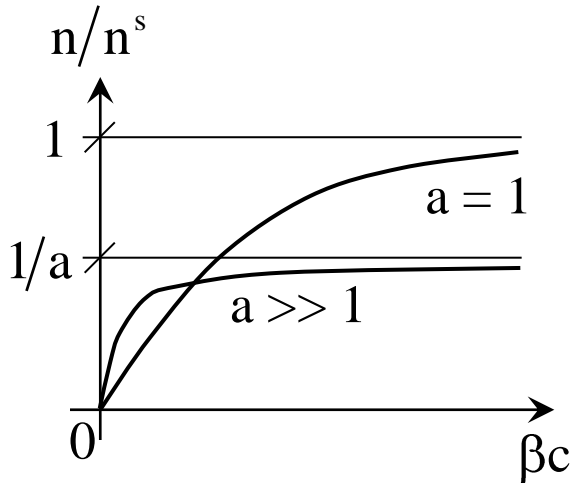
$$a n c = \frac{n^s}{2}$$

$$c_{1/2} = \frac{1}{a \beta} \ll 1$$

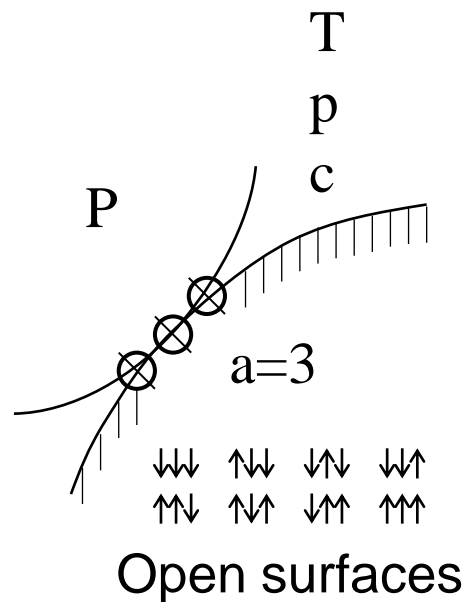
Special cases

$$a = 1 : n c = \frac{b c}{1 + b c}$$

$$a \rightarrow \infty : \frac{n}{n^s} \rightarrow \emptyset$$



Multicontact Adsorption of Proteins from Aqueous Solution



Adsorption Kinetics (Langmuir, Rudzinski)

$$dn = dn^+ - dn^-$$

$$\dot{n} = k_1^+ c n^s - a n - k_1^- \frac{n}{2^{a-1}}$$

$$\frac{\tau \dot{n} + n}{n} = C \quad \rightarrow$$

$$n t = \frac{n^s \beta c}{1 + a \beta c} \cdot 1 - e^{-t/\tau}$$

$$\tau = \frac{2^{a-1}}{k_1^- (1 + 2^{a-1} a b c)}$$

$$\lim_{a \rightarrow \infty} \tau \cong \frac{1}{k_1^- a b c} \rightarrow \emptyset$$

Multicomponent - Multicontact - Adsorption of Proteins from Aqueous Solution

Protein concentration: $c_i = \frac{n_{pi}}{n_w + \sum_k n_{pk}}$, $i = 1 \dots N$

Single layer adsorption process

$$dn_i^+ = k_i^+ c_i \left(n^s - \sum_{k=1}^N a_k n_k \right) dt$$

Desorption process

$$dn_i^- = k_i^- n_i \frac{1}{2^{a_i-1}} dt$$

Adsorption equilibria:

$$dn_i^+ = dn_i^- , \quad i = 1 \dots N$$

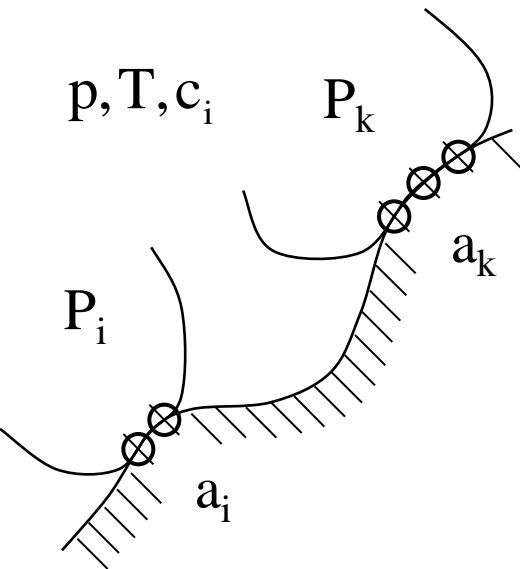
Single contact:

$$n_i c_1 \dots c_N = n^s \frac{b_i c_i}{1 + \sum_k b_k c_k}$$

$$a_i \rightarrow \infty : \frac{n_i}{n^s} \rightarrow 0$$

$$n_i c_1 \dots c_N = n^s \frac{\beta_i c_i}{1 + \sum_k a_k \beta_k c_k}$$

$$\beta_i = 2^{a-1} k_i^+ / k_i^-$$



Open surfaces

Multicomponent - Multicontact - Adsorption of Proteins from Aqueous Solution

Adsorption Kinetics

$$dn_i = dn_i^+ - dn_i^- \quad , \quad i = 1 \dots N$$

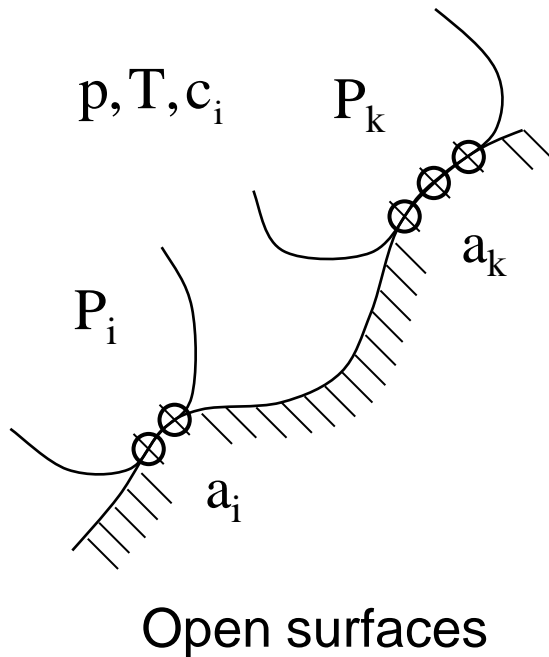
$$\dot{n}_i = k_i^+ c_i n^s - \sum_k a_k n_k - \frac{k_i^-}{2^{a_i-1}} n_i$$

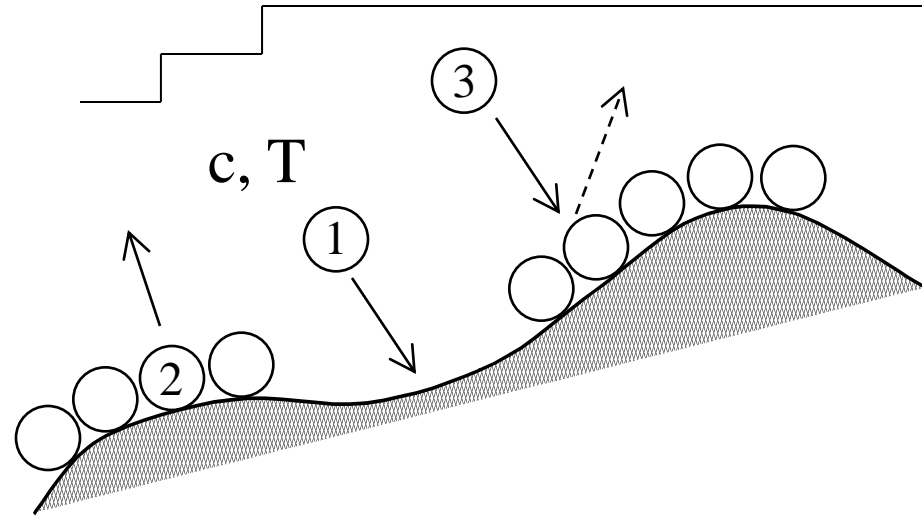
$$\tau_i \dot{n}_i + n_i + \beta_i c_i \sum_{k \neq i}^N a_k n_k = C_i$$

$$\tau_i = \frac{2^{a_i-1}}{k_i^- (1 + a_i \beta_i c_i)} \quad , \quad C_i = \frac{\beta_i c_i n^s}{1 + a_i \beta_i c_i}$$

$$\beta_i = 2^{a_i-1} \frac{k_i^+}{k_i^-}$$

ODE, Eigenvalues, Implicit numerical methods





Equilibria

$$\frac{n}{n^s} = \frac{bc}{1 + b + d c}$$

$$d = 0: \quad \frac{n_0}{n^s} = \frac{bc}{1 + cb}$$

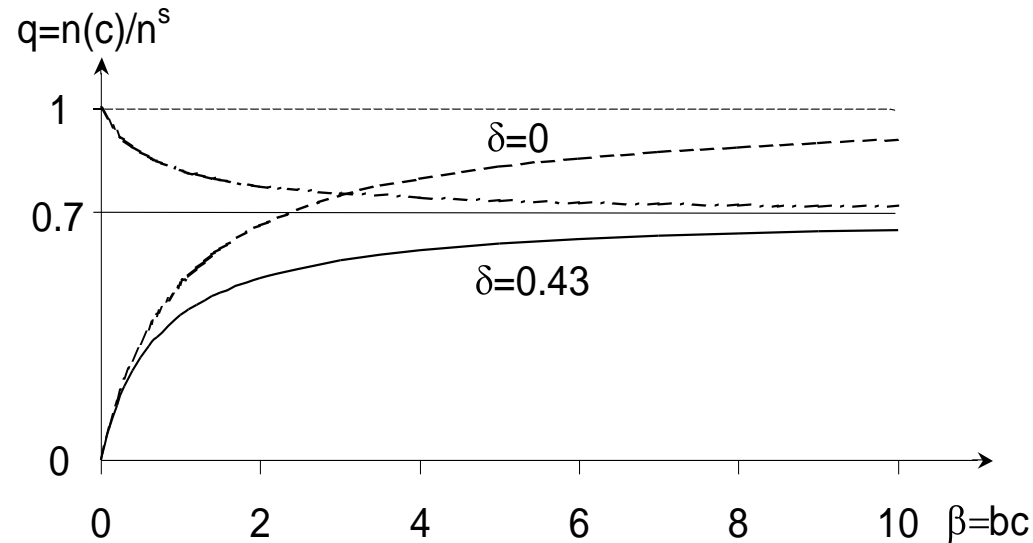
$$\frac{n}{n_0} = \frac{bc}{1 + b + d c} < 1$$

$$dn^+ = k^+ c n^s - n dt \quad \dots 1$$

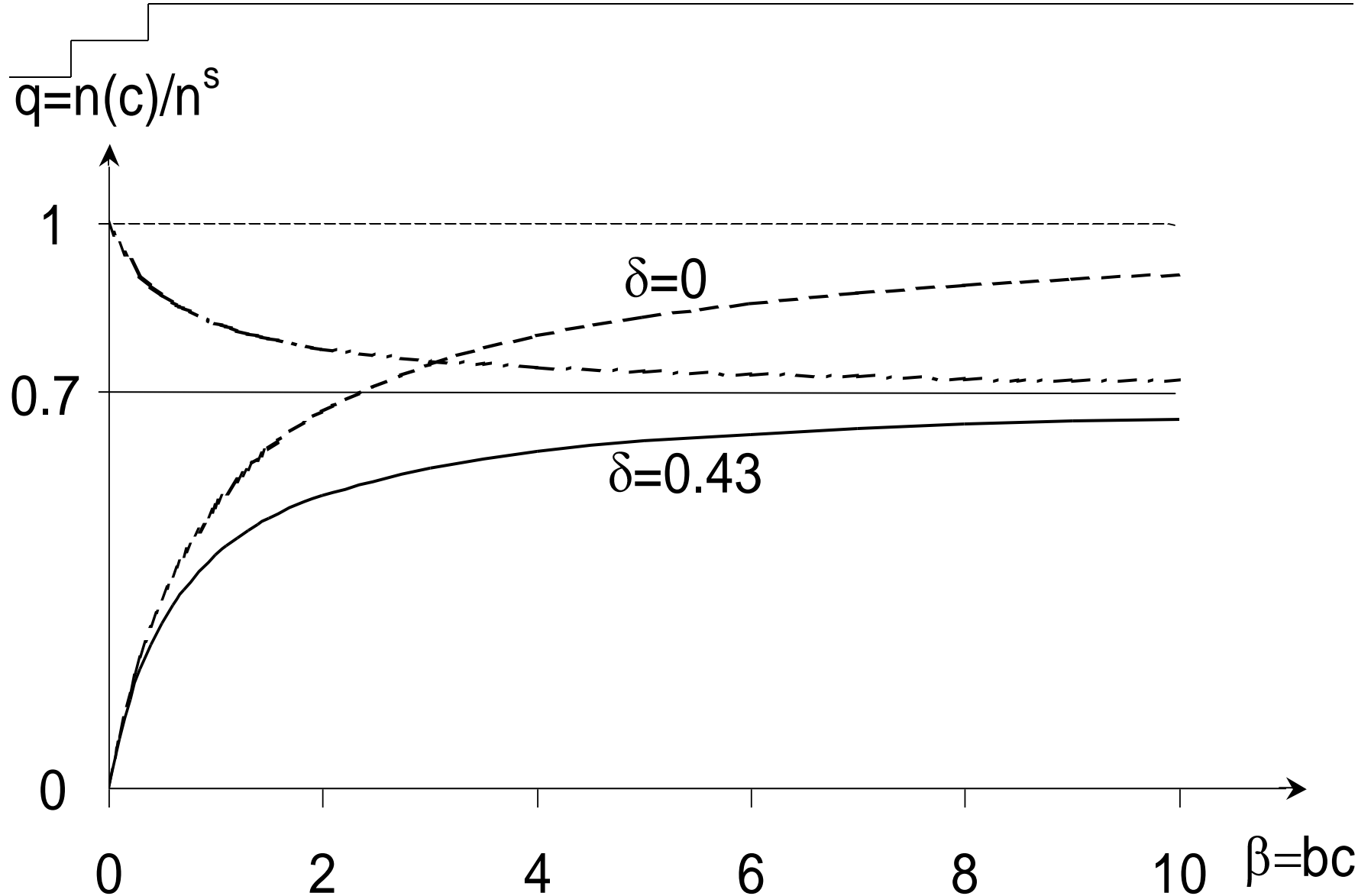
$$dn^- = k^- n + l^- c n dt \quad \dots 2,3$$

$$b = \frac{k^+}{k^-} > 0, \quad d = \frac{l^-}{k^-} \geq 0$$

$$\beta = bc, \quad \delta = \frac{d}{b} = \frac{l^-}{k^+}$$



Replacement Adsorption, Langmuir Model (N=1), Equilibria



Replacement Adsorption, Langmuir Model, Equilibria

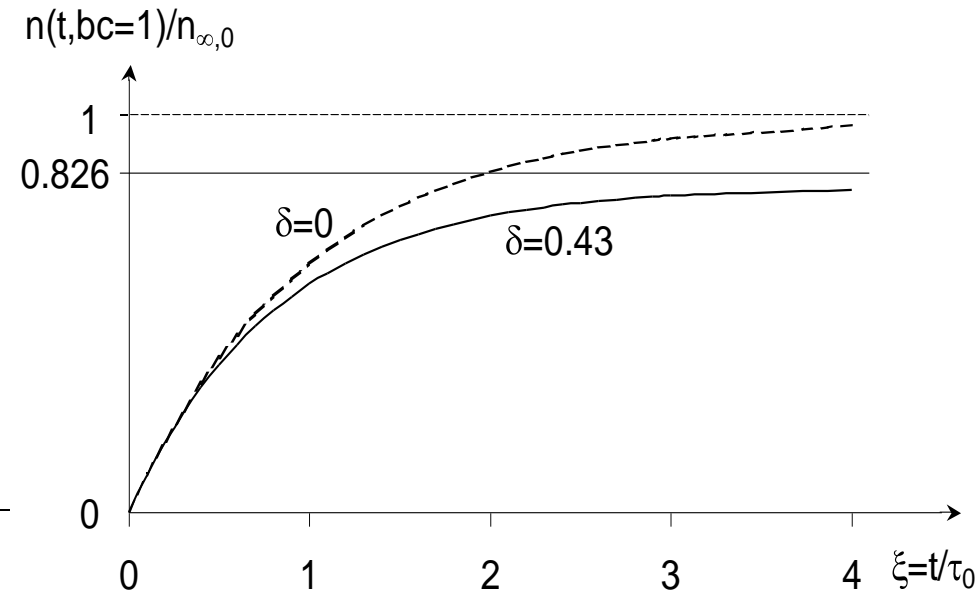
Kinetics of Adsorption Process

$$dn = dn^+ - dn^-$$

$$k^+ cn^s = \dot{n} + [1 + b + d c] k^- n$$

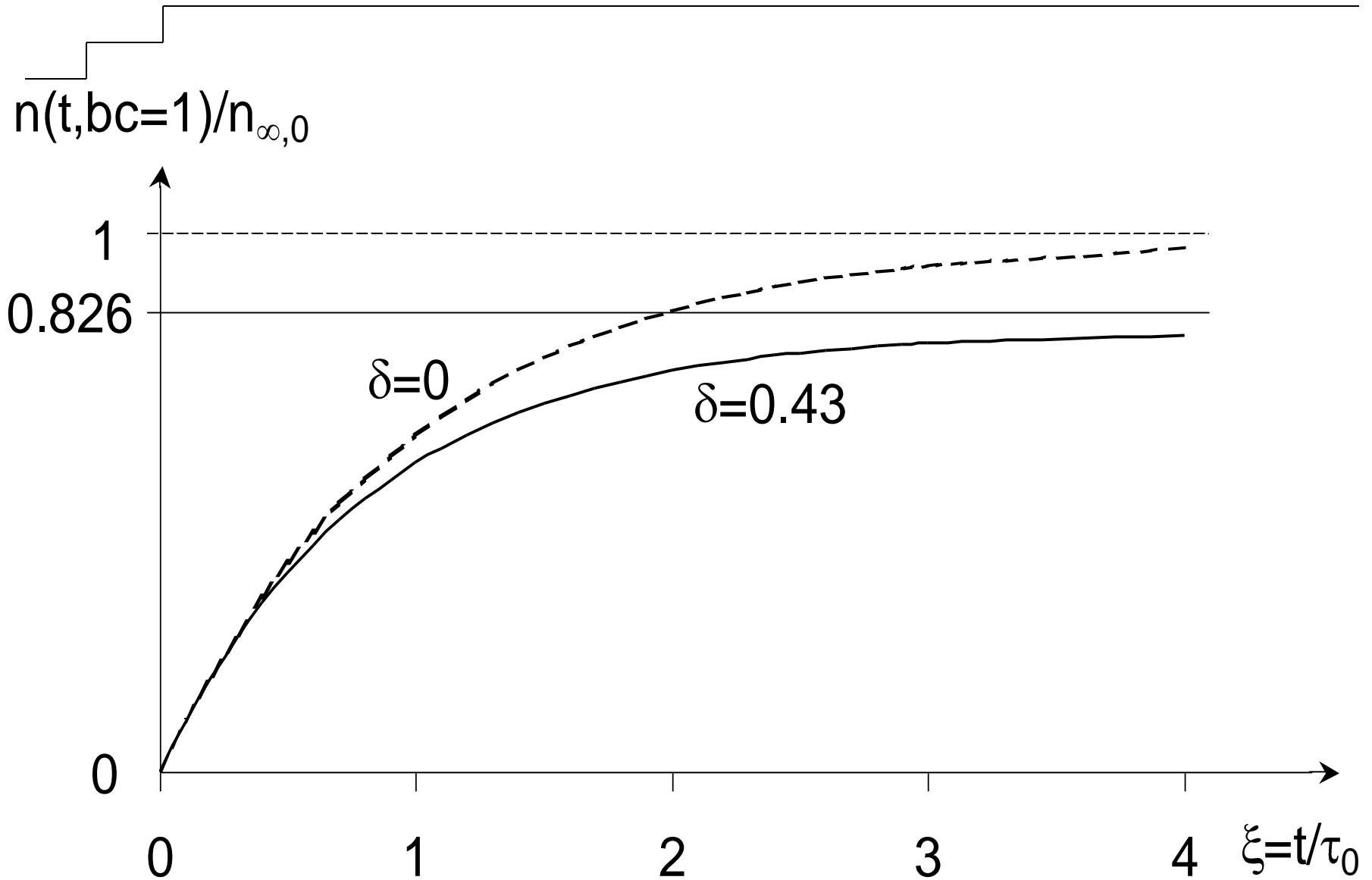
$$n(t) = n_{\infty,0} \frac{\tau}{\tau_0} \left(1 - e^{-\frac{\tau_0}{\tau} \left(\frac{t}{\tau_0} \right)} \right)$$

$$d = 0: n(t) = n_{\infty,0} \left(1 - e^{-\frac{t}{\tau_0}} \right)$$

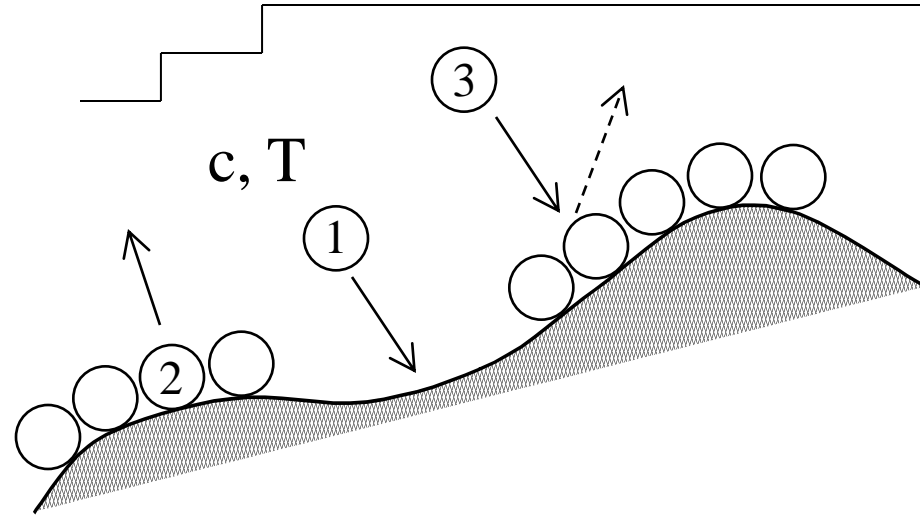


$$\frac{\tau}{\tau_0} = \frac{1+bc}{1+b+d c} < 1, \quad b = \frac{k^+}{k^-} \geq 0, \quad d = \frac{l^-}{k^-} \geq 0$$

Replacement Adsorption, Langmuir Model (N=1), Kinetics



Replacement Adsorption, Langmuir Model, Kinetics



Equilibria

$$\frac{n}{n^s} = \frac{bc}{1 + b + dn/n^s c}, \quad d = 0: \frac{n_0}{n^s} = \frac{bc}{1 + bc}$$

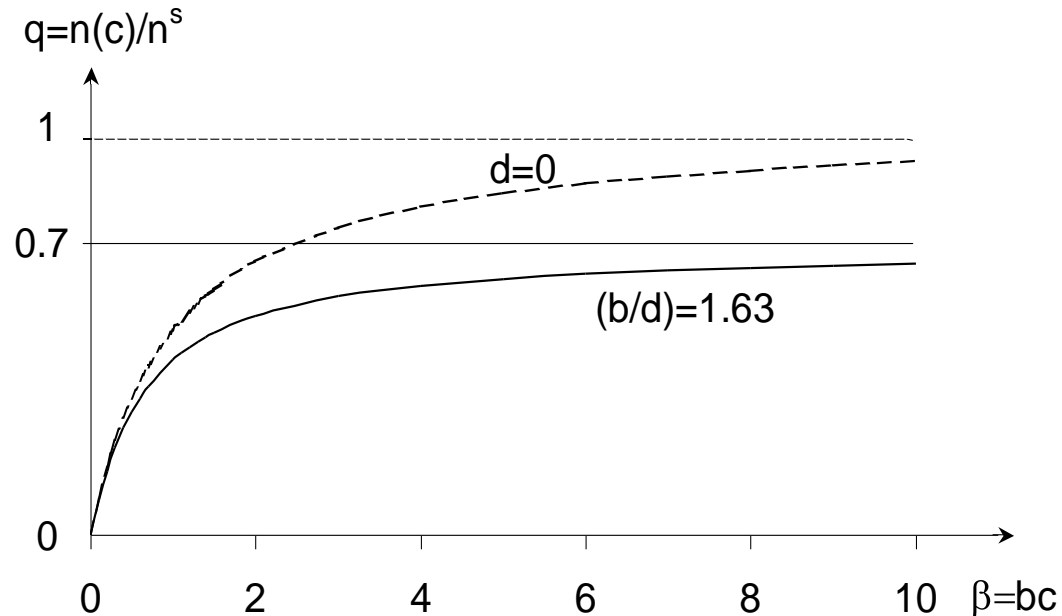
$$\frac{n}{n^s} = -\frac{1 + bc}{2dc} \begin{matrix} (+) \\ (-) \end{matrix} \sqrt{\left(\frac{1 + bc}{2dc}\right)^2 + \frac{b}{d}}$$

$$\frac{n}{n_0} = \frac{bc}{1 + b + dn/n^s c} < 1$$

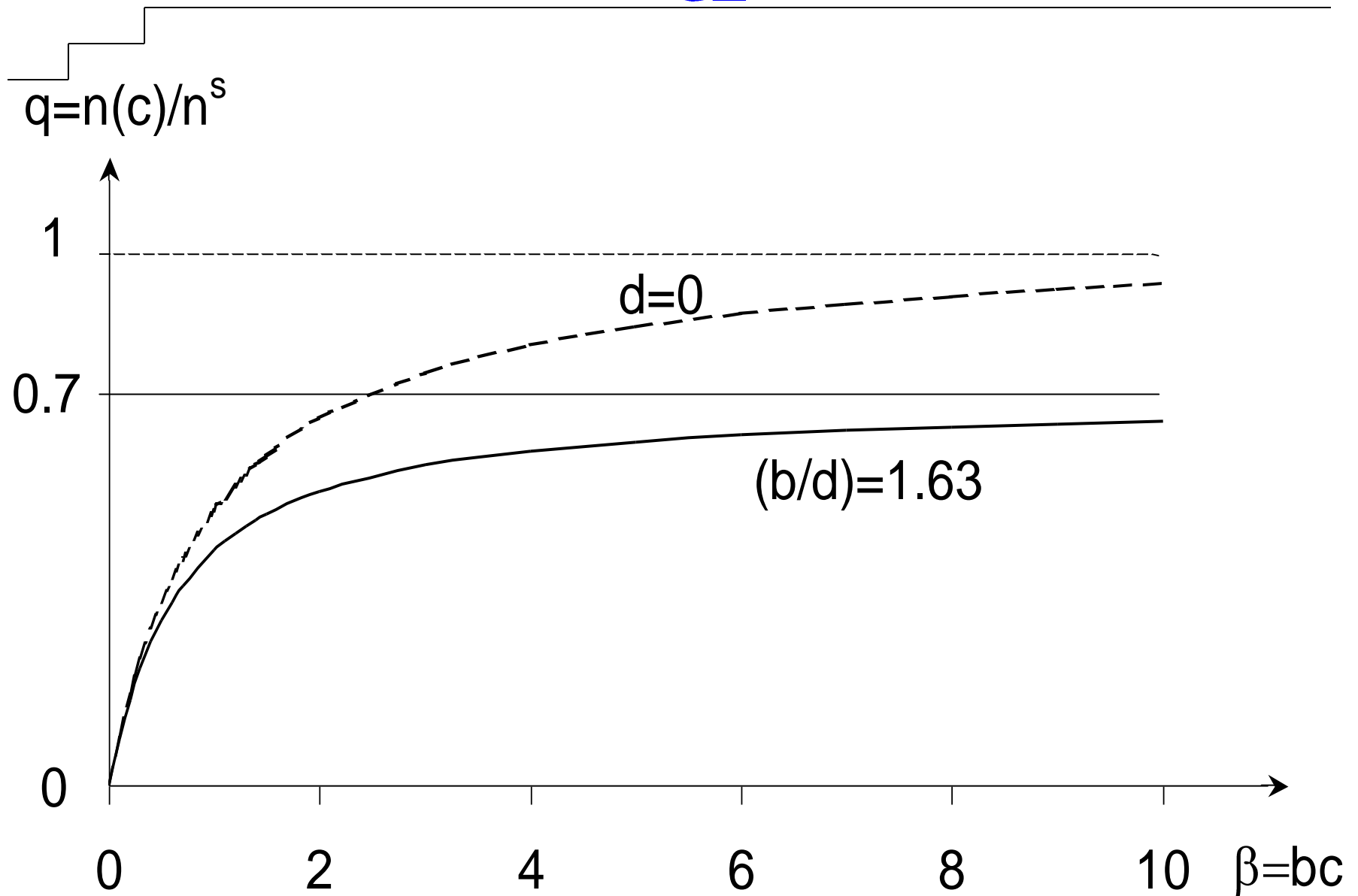
$$dn^+ = k^+ c n^s - n dt \quad \dots 1$$

$$dn^- = \left[k^- n + l^- c \left(\frac{n}{n^s} \right) n \right] dt \quad \dots 2, 3$$

$$b = \frac{k^+}{k^-} > 0, \quad d = \frac{l^-}{k^-} \geq 0$$



Replacement Adsorption, Non-linear Model (N=1)



Replacement Adsorption, Non-linear Model (N=1)



Kinetics of Adsorption Process

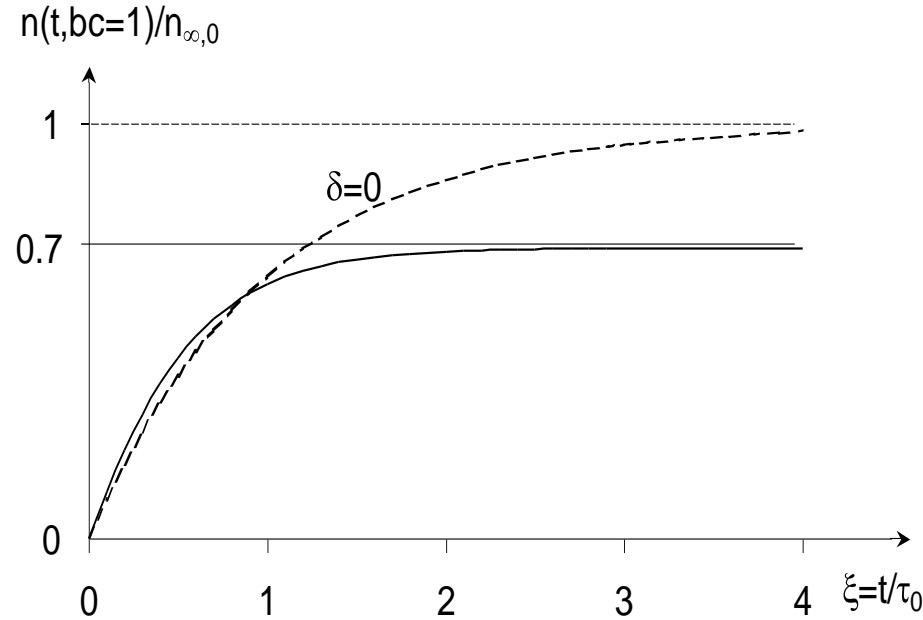
$$dn = dn^+ - dn^-$$

$$k^-bcn^s = \dot{n} + k^- \left[\frac{dc}{n^s} n^2 + 1 + bc n \right]$$

$$n(t, c = \text{const}) = n_{\infty,0} \left(\frac{n_1}{n_{\infty,0}} \right) \frac{1 - e^{-\frac{\tau_0}{\tau} \left(\frac{t}{\tau_0} \right)}}{1 - \frac{n_1}{n_2} e^{-\frac{\tau_0}{\tau} \left(\frac{t}{\tau_0} \right)}}$$

$$d = 0: n_0(t, c = \text{const}) = n_{\infty,0} \left(1 - e^{-\frac{t}{\tau_0}} \right)$$

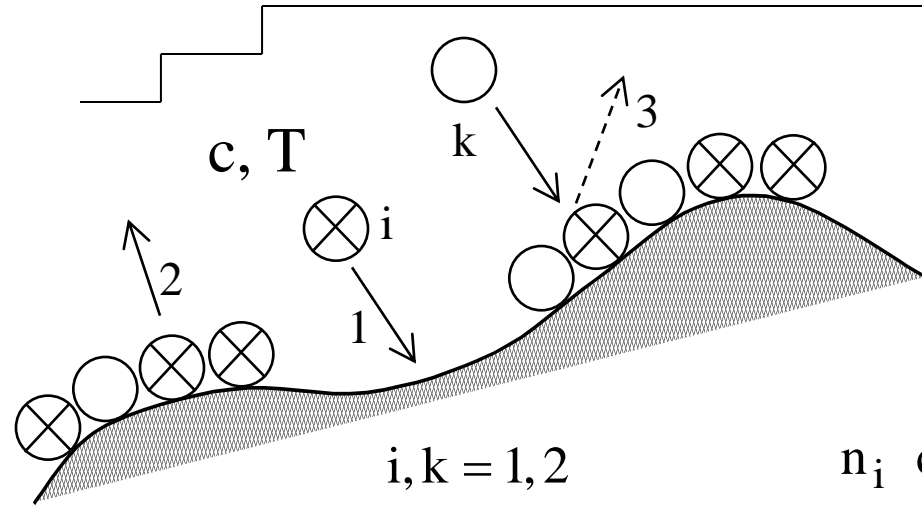
$$n_{\infty,0} = n^s \frac{bc}{1 + bc}$$



$$\frac{\tau_0}{\tau} = \sqrt{1 + \frac{d}{b} \left(\frac{2bc}{1 + bc} \right)^2}$$

$$n_{1,2} = \frac{n_{\infty,2}}{2} \left[-1 \pm \sqrt{1 + \frac{d}{b} \left(\frac{2bc}{1 + bc} \right)^2} \right]$$

Replacement Adsorption, Non-linear Model (N=1), Kinetics



Equilibria

$$n_i \quad c_1, c_2 = n^s \frac{b_i c_i}{1 + d_{i1}c_1 + d_{i2}c_2} \cdot \frac{1}{1 + \Sigma} \quad , \quad i = 1, 2$$

$$\Sigma = \frac{b_1 c_1}{1 + d_{11}c_1 + d_{12}c_2} + \frac{b_2 c_2}{1 + d_{21}c_1 + d_{22}c_2}$$

$$dn_i^+ = k_i^+ c_i \quad n^s - n \quad dt \quad \dots 1$$

$$dn_i^- = k_i^- + l_{i1}c_1 + l_{i2}c_2 \quad n_i \quad dt \quad \dots 2, 3$$

$$d_{ik} = 0 \quad , \quad i, k = 1, 2$$

$$b_i = \frac{k_i^+}{k_i^-} \quad , \quad d_{ik} = \frac{l_{ik}^-}{k_i^-}$$

$$n_i^0 \quad c_1, c_2 = n^s \frac{b_i c_i}{1 + d_1 c_1 + d_2 c_2}$$

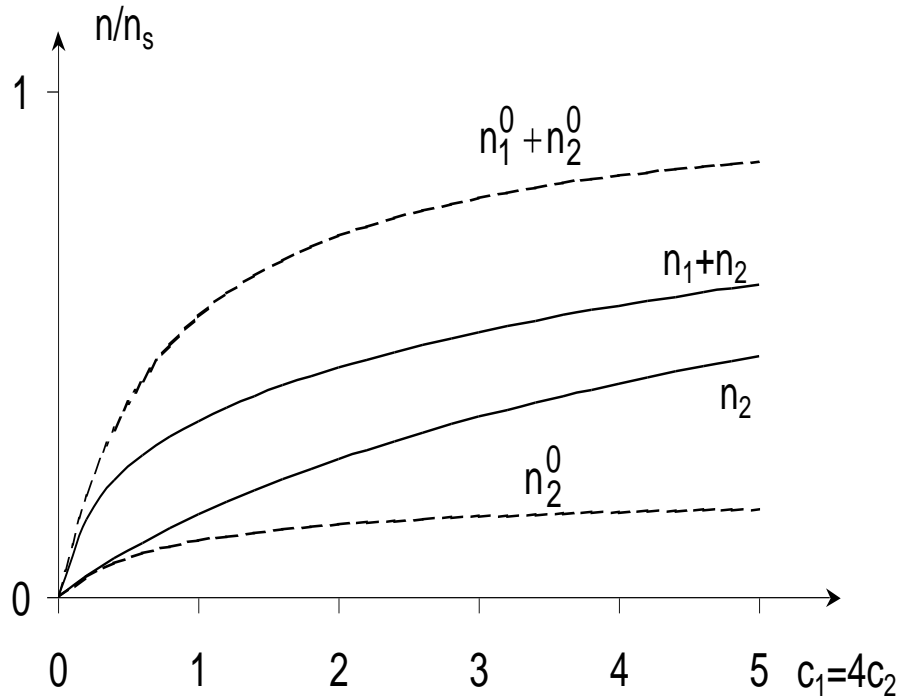
$$n = n_1 + n_2$$

$$\frac{n_i}{n_i^0} \leq 1$$

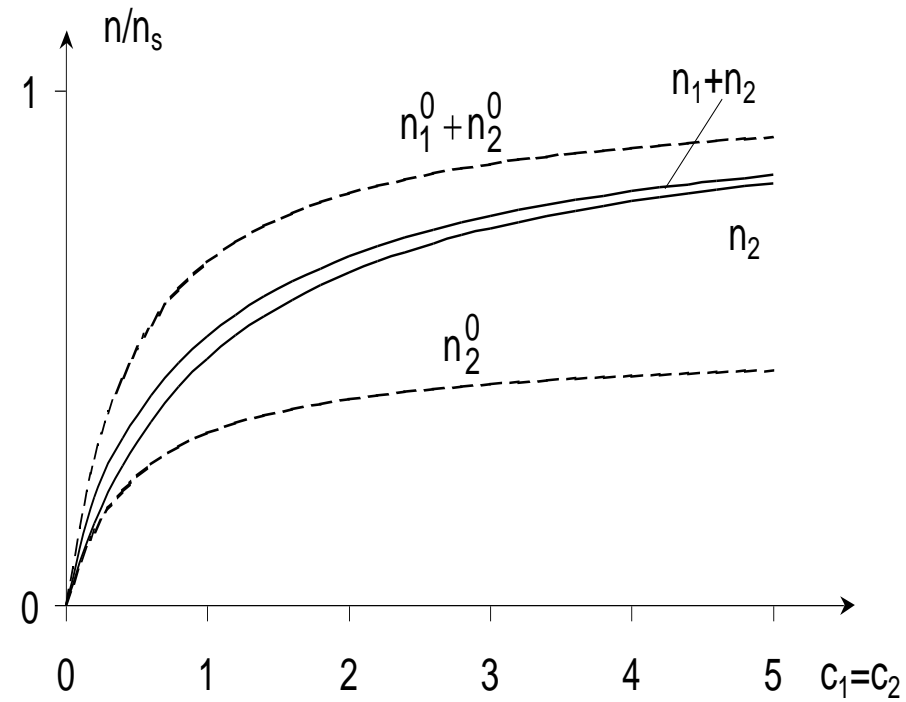
Replacement Adsorption, Langmuir Model (N=2), Equilibria

Coadsorption Equilibria

Example 1

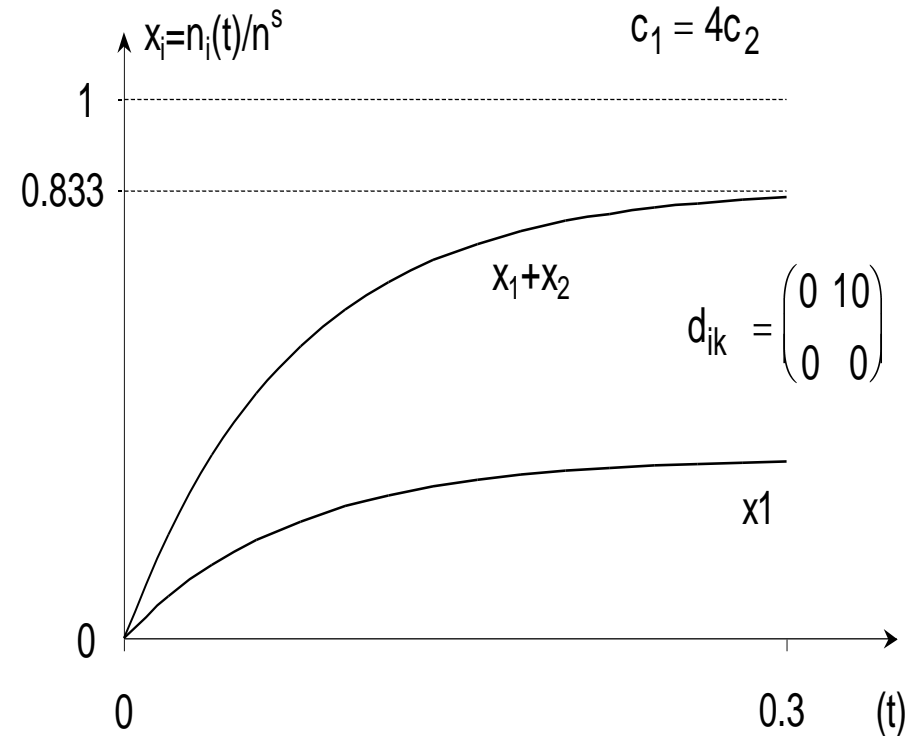
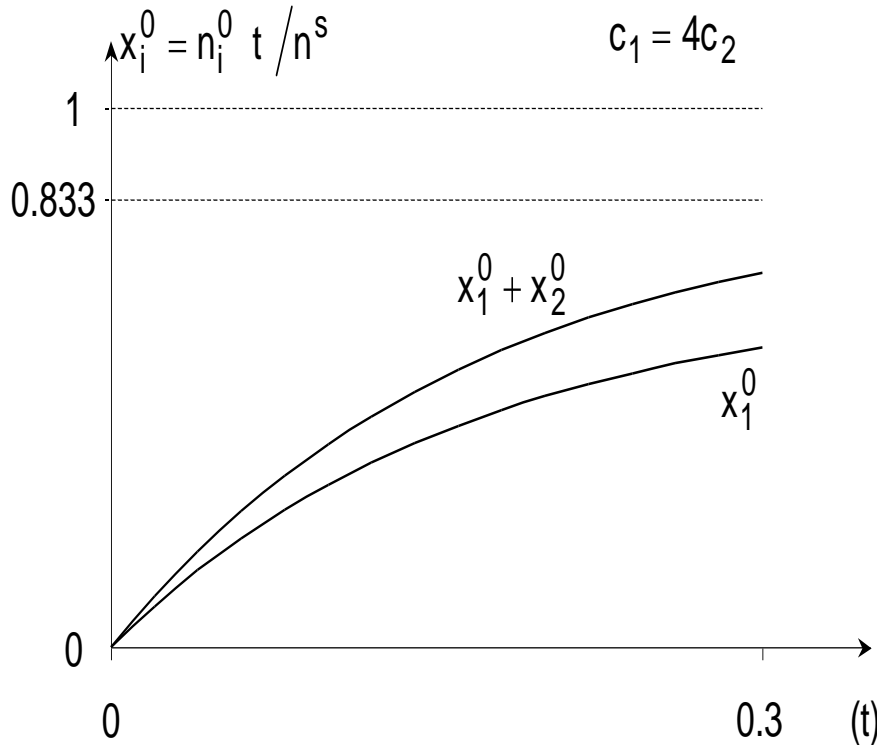


Example 2

**Replacement Adsorption, Langmuir Model (N=2), Equilibria**

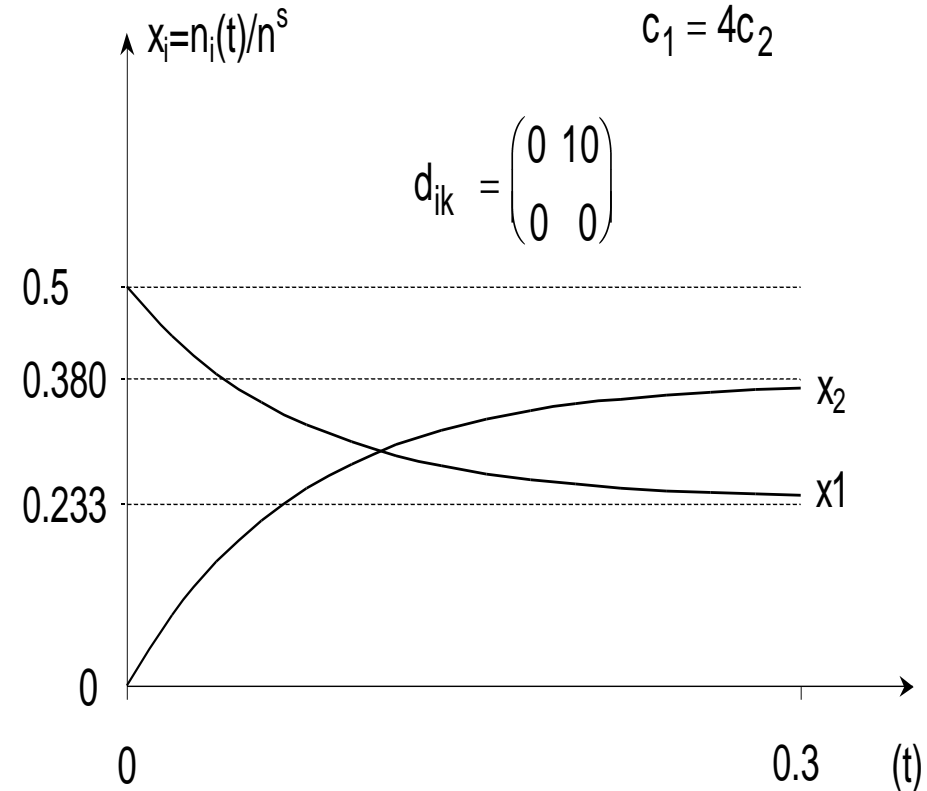
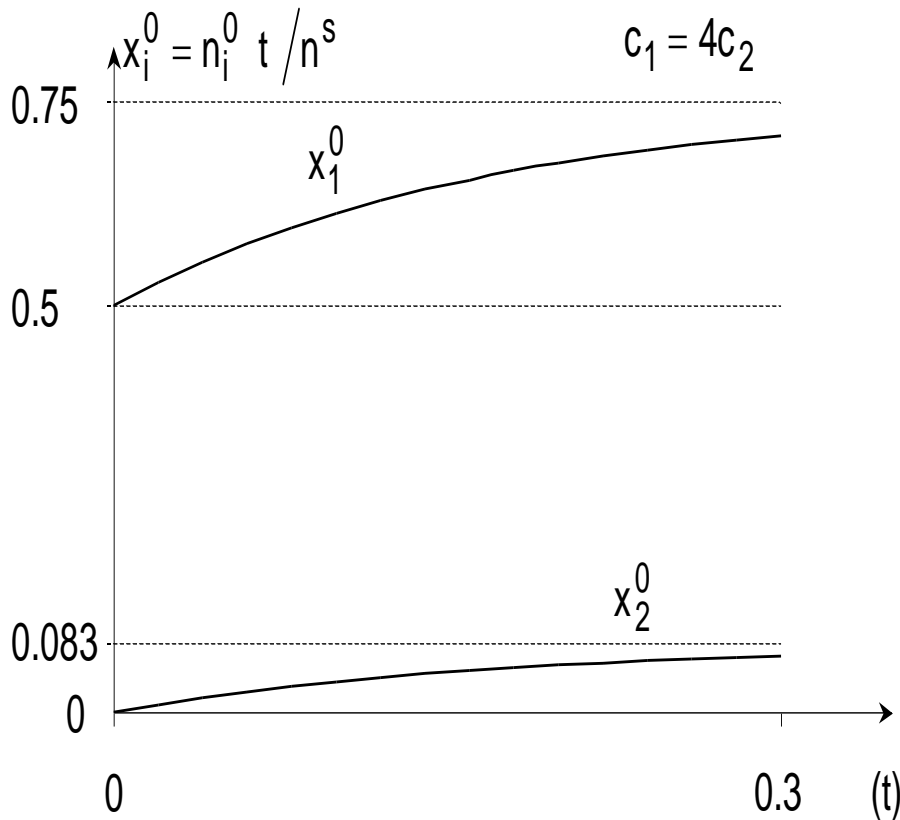
Coadsorption Kinetics

Kinetics of Coadsorption Process with Replacement (1→2)

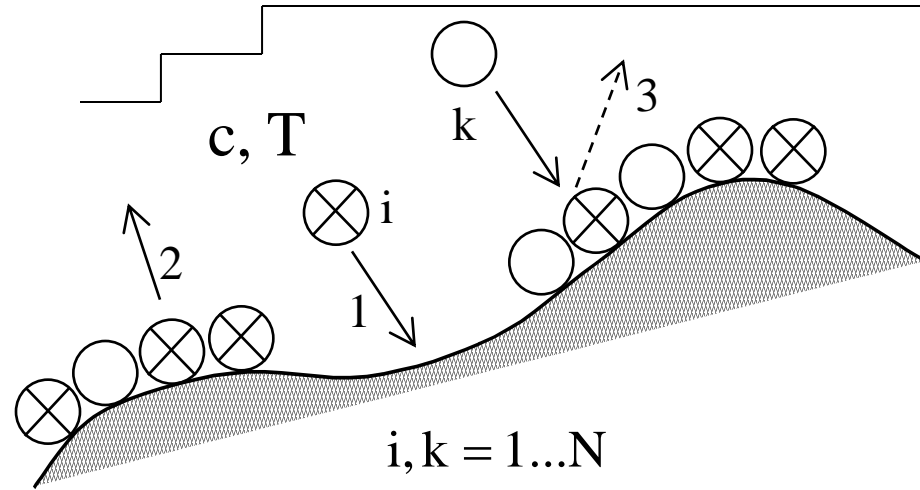


Coadsorption Kinetics

Kinetics of Coadsorption Process with Replacement (1→2)



Replacement Adsorption, Langmuir Model (N=2), Kinetics (2)



Equilibria

$$n_i \ c_1 \dots c_N = n^s \frac{b_i c_i}{1 + \sum_k d_{ik} c_k} \cdot \frac{1}{1 + \Sigma}$$

$$\Sigma = \sum_k \frac{b_k c_k}{1 + \sum_l d_{kl} c_l} \ , \ i = 1 \dots N$$

$$dn_i^+ = k_i^+ c_i \ n^s - n \ dt \ \dots 1$$

$$dn_i^- = \left(k_i^- n_i + \sum_k l_{ik}^- c_k n_i \right) dt \ \dots 2, 3$$

$$b_i = \frac{k_i^+}{k_i^-} > 0 \quad , \quad d_{ik} = \frac{l_{ik}^-}{k_i^-} \geq 0$$

$$n = \sum_i n_i$$

$$d_{ik} = 0 \quad , \quad i, k = 1 \dots N$$

$$n_i^0 \ c_1 \dots c_N = n^s \frac{b_i c_i}{1 + \sum_k b_k c_k}$$

$$\frac{n_i}{n_i^0} \leq 1$$

Replacement Adsorption, Langmuir Model ($N \geq 1$), Equilibria

Kinetics of Adsorption Process

$$dn_i = dn_i^+ - dn_i^- \quad , \quad i = 1 \dots N$$

coefficients of replacement

$$k_i^+ c_i n^s = \dot{n}_i + k_i^+ c_i n + \left(k_i^- + \sum_k l_{ik}^- c_k \right) n_i$$

$$n = \sum_i^N n_i$$

desorption

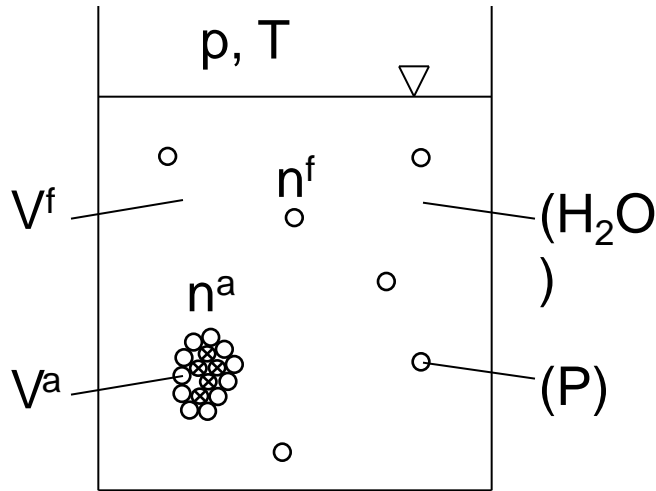
adsorption

$$n_i \quad t = \sum_{k=1}^N C_{ik} e^{\lambda_k t} + C_{i0}$$

$$\begin{pmatrix} 1 \dots i-1 & & i & & i+1 \dots N \\ k_i^+ c_i \dots k_i^+ c_i & & \left(k_i^+ c_i + k_i^- + \sum_k l_{ik}^- c_k - \lambda \right) & & k_i^+ c_i \dots k_i^+ c_i \end{pmatrix} = 0 \rightarrow \lambda_k = \lambda_k \quad c_1 \dots c_i \dots c_N, l_{ik}^- \quad , \quad k = 1 \dots N$$

Replacement Adsorption, Langmuir Model ($N \geq 1$), Kinetics

Protein Aggregation, Thermodynamic Equilibria States



$$n = n^a + n^f \quad 1$$

$$V = V^a + V^f \quad 2$$

$$V^a = \varphi n^a \quad 3$$

$$\varphi = \frac{1}{4} b_w \doteq \frac{1}{12} V_{mc}$$

$$V^f = V^f(n_w, n^f, p, T) \quad 4$$

Aggregation Model

$$dn^{a+} = k^+ n^a{}^\alpha c^f dt \quad 5$$

$$dn^{a-} = k^- n^a{}^\alpha dt \quad 6$$

$$\alpha \approx \frac{2}{3}, \quad c^f = n^f / V^f$$

Equilibria: $b \doteq k^+ / k^- = b T$

$$5,6 \quad n^a = \frac{V - nb}{\varphi - b} \quad 7$$

$$x^a = \frac{n^a}{n} = \frac{V_m - b}{\varphi - b} \quad 7a$$

$$2,3 \quad n^a = n - V^f / b \geq 0 \quad 8$$

$$x^a \approx 1 - V_m^f / b \geq 0 \quad 8a$$

$$x^f = \frac{n^f}{n} = V_m^f / b \leq 1 \quad 9$$

$$G: n, n_w, p, T; \varphi, b = k^+ / k^-$$

$$U: n^a, n^f, V^a, V^f, V$$

Limiting protein concentration for aggregation: $n^a = 0$

$$\rightarrow V^f n_w, n, p, T = nb \quad 11$$

$$n = 1$$

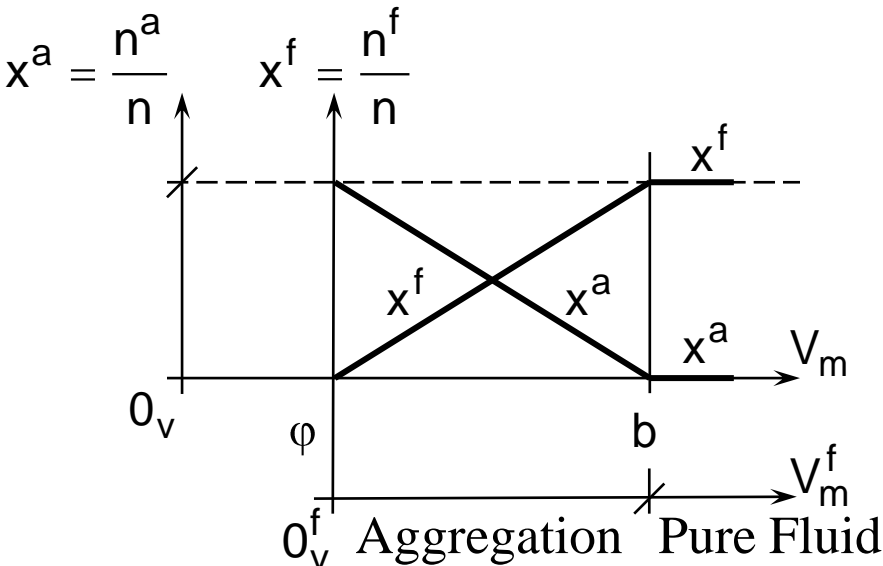
$$11 \quad V^f n_w^*, n = 1, p, T = b T$$

$$n_w^* = n_w^* p, T, b \quad 12$$

$$[n_w^*] = \text{mol H}_2\text{O} / \text{mol P}$$

$$4,8 \quad n^a = n - V^f n_w, n - n^a, p, T / b \quad 10$$

$$n^a \cong n - V^f n_w, p, T / b \geq 0 \quad 10a$$



Protein Aggregation, Thermodynamic Equilibria States

Dynamic Model

$$dn^a = dn^{a+} - dn^{a-}$$

$$5,6 \quad dn^a = k^+ c^f - k^- n^a{}^\alpha dt$$

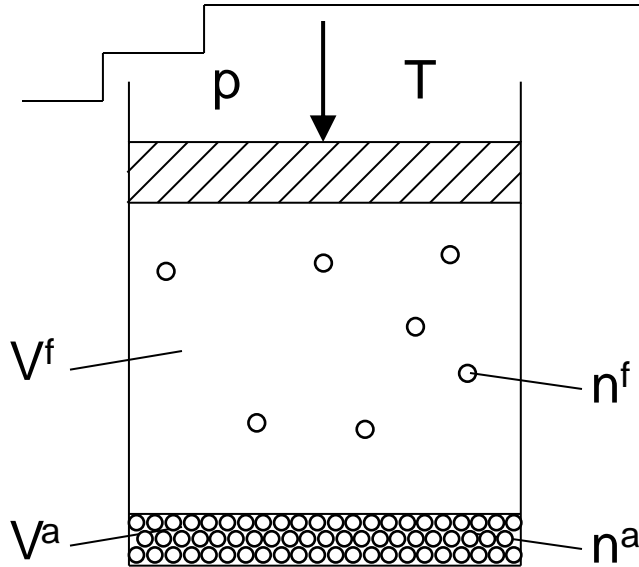
$$\text{ODE: } \dot{n}^a + \left(k^- - k^+ \frac{n - n^a}{V - \varphi n^a} \right) n^a{}^\alpha = 0 \quad 13$$

$$P: n, V, n_w, n, p, T, \varphi, k^\pm, \alpha \cong 2/3$$

$$IC: n^a(0) = n_0^a$$

Implicit numerical methods

Alzheimer's disease?



Sublimation Model

$$dn^{a+} = k^+ n^a{}^\alpha c^f dt \quad 5$$

$$dn^{a-} = k^- n^a{}^\alpha dt \quad 6$$

$$\alpha \cong \frac{2}{3}, \quad c^f = n^f / V^f$$

$$b T \doteq k^+ / k^- = V_a^* \exp q_{av} / RT$$

$$n = n^a + n^f \quad 1$$

$$V = V^a + V^f \quad 2$$

$$V^a = \varphi n^a \quad 3$$

$$V^f = V^f n^f, p, T \quad 4$$

Equilibria States:

$$5, 6 \quad V^f n^f, p, T = n^f b \quad 7$$

$$n^f = 1$$

$$7 \quad V_m^f p, T = V_a^* \exp q_{af} / RT \quad 7a$$

$$IG; 7a \quad p = \frac{RT}{V_a^*} \exp -q_{af} / RT \quad 9$$

Aggregation / Sublimation of Pure Substances



$$7 \quad V_m^f(p, T) = V_a^* \exp(q_{af}/RT) \quad 7a$$

$$\lim_{T \rightarrow \infty} V_m^f = V_a^* \dots \text{limiting volume for aggr.}$$

f = v : Sublimation

f = l : Solidification, Freezing

f: IG: $V_m^f = RT/p \quad 8$

$$7a,8 \quad p = \frac{RT}{V_a^*} e^{-q_{av}/RT} \quad 9$$

Reference state (Triple state)

$$9 \quad p_{tr} = \frac{RT_{tr}}{V_a^*} e^{-q_{av}/RT_{tr}} \quad (9tr)$$

$$(9,9tr) \quad p = p_{tr} \left(\frac{T}{T_{tr}} \right) e^{-q_{av} \left(\frac{1}{T} - \frac{1}{T_{tr}} \right)} \quad (10)$$

Example: CO₂

M = 44 g/mol , p_{tr} = 5.18 bar

T_{tr} = 216.5 K = -56.6 °C

Sublimation enthalpy

Exp: q_{av} T_{tr} = 23.3 kJ/mol

Trouton: q_{av} T_{tr} = 21.5 kJ/mol

Limiting gas volume 9tr

$$V_a^* = 565 \text{ m}^3/\text{mol}$$

T = 193 K: 10 : p = 1.07 bar

IGA : p = 1.20 bar

Exp : p = 1.00 bar

Aggregation / Sublimation of Pure Substances (CO₂)

Dynamic Model

$$dn^a = dn^{a+} - dn^{a-}$$

$$5,6 \quad dn^a = k^+ c^f - k^- n^a{}^\alpha dt$$

$$\text{ODE: } \dot{n}^a + \left(k^- - k^+ \frac{n - n^a}{V^f n - n^a, p, T} \right) n^a{}^\alpha = 0 \quad 11$$

$$P: n, p, T, k^\pm, \alpha \cong 2/3$$

$$\text{IC: } n^a(0) = n_0^a$$

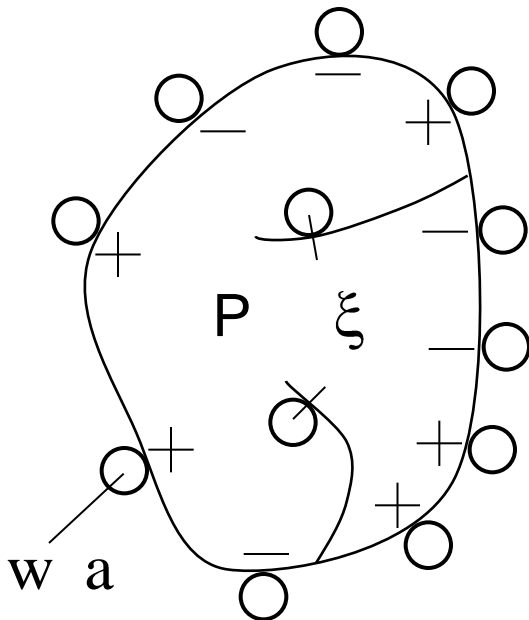
Implicit numerical methods

A. Mersmann, Crystallization

Hydratization Process of Proteins (Water Intrusion) I

Simple Thermodynamic Formalism

○ w f



Stimulus: Chemical potential of water: $\mu = \mu(p, T, \dots)$

Response: Adsorption of water on P

$$A|: n = n(\mu, T = \text{const}) = n_0 + H_0(T) (\mu - \mu_0) + O(2)$$

Number of Adsorption sites: ξ ... Internal variable!

a) $\xi = \xi_E = \text{const}$... equilibrium : $\xi = \xi_E$ ($\mu, T = \text{const}$)

b) $\xi \neq \xi_E$... variable ... non-equilibrium:

$$A = A(\mu, T = \text{const}, \xi) \neq 0$$

Affinity: Measure for non-equ. deviation.

Water:

$$T, p, \mu_w^f = \mu_w^a = \mu$$

Hydratization Process of Proteins (Water Intrusion) II

Thermostatics 1

Free energy of (P, w)-system:

$$F = F(n, \xi, T) = -SdT + \mu dn - A d\xi, \quad T = \text{const}$$

$$\mu = \left(\frac{\partial F}{\partial n} \right)_{T, \xi} = \mu(n, \xi, T) \quad \dots \text{AI}$$

$$-A = \left(\frac{\partial F}{\partial \xi} \right)_{T, n} = -A(n, \xi, T) \quad \dots \text{IEOS}$$

External & internal or full equilibrium: $F \rightarrow \text{Min}$, $T = \text{const}$, $n = \text{const}$

$$A(n, \xi, T) = 0 \rightarrow \xi_E = \xi_E(n, T) = \text{const}$$

External equilibrium only (restricted equilibrium), $T = \text{const}$:

$$A \neq 0 \quad \xi \dots \text{arbitrary value}$$

Hydratization Process of Proteins (System: P, w(a))

Free Energy, Taylor Series

$$F(n, \xi, T) = F_{00} + F_{10}n + F_{01}\xi + \frac{1}{2!} F_{20}n^2 + 2F_{11}n\xi + F_{02}\xi^2 + O(3)$$

Thermodynamic Stability (2nd Law): $\left\| \frac{\partial^2 F}{\partial n \partial \xi} \right\| > 0$, $F_{ik} = F_{ki}$ T

$$\rightarrow F_{20} \geq 0, \quad F_{20}F_{02} - F_{11}^2 > 0, \quad F_{02} \geq 0$$

Reference State: $Z_0, n_0, \mu_0, \xi_0, A_0 = 0, T$

Equations of State:

$$\mu = \frac{\partial F}{\partial n} \Big|_{\xi, T} : \quad \mu - \mu_0 = F_{20} (n - n_0) + F_{11} (\xi - \xi_0) \quad 1$$

$$-A = \frac{\partial F}{\partial \xi} \Big|_{n, T} : \quad -A = F_{11} (n - n_0) + F_{02} (\xi - \xi_0) \quad 2$$

Internal Equilibrium: $A(n, \xi_E, T) = 0$, $\xi_E - \xi_0 = -\frac{F_{11}}{F_{02}} (n - n_0)$

$$1 : \underline{\underline{n - n_0 = H (\mu - \mu_0)}}, \quad H = \frac{F_{02}}{F_{20}F_{02} - F_{11}^2} > H_0 = \frac{1}{F_{20}}$$

Hydratization Process of Proteins (System: P, w(a))

Thermodynamics of Processes

$$1^{\text{st}} \text{ Law: } dU = dQ + h dn + 0$$

$$2^{\text{nd}} \text{ Law: } dS = \frac{1}{T} dU - \frac{\mu}{T} dn + \frac{A}{T} d\xi$$

$$dS = \frac{Q}{T} + s dn + dS_{\text{in}}$$

$$\mu = h - Ts$$

$$P_s = \dot{S}_{\text{in}} = \frac{A}{T} \dot{\xi} \geq 0$$

$$\text{Eckart-Onsager: } \Delta \dot{\xi} = \alpha n, \xi, T \quad A + O \quad A^2$$

$$\text{Equations of State: } \Delta\mu = F_{20}\Delta n + F_{11}\Delta\xi$$

$$-A = F_{11}\Delta n + F_{02}\Delta\xi$$

$$\Delta\mu \quad t = \mu - \mu_0 \rightarrow \Delta n \quad t = n - n_0, \quad \Delta\xi \quad t = \xi - \xi_0, \quad A = A \quad t \rightarrow 0!$$

Stimulus

Adsorption

Structure

Equilibrium

Hydratization Process of Proteins (System: P, w(a))

Stimulus : $\Delta\mu = \mu_{p,T,\dots} - \mu_0$

Adsorption: $\Delta n = n_t - n_0$

Structure : $\Delta\xi = \xi_t - \xi_0$... adsorption sites

$$\tau_n \Delta\dot{\mu} + \Delta\mu = E \Delta n + \tau_\mu \Delta\dot{n} \quad (\text{Poynting, Elastic Relax.})$$

* $\tau_n^{-1} = \alpha F_{02} > 0$, $E = F_{20} - \frac{F_{11}^2}{F_{02}} \geq 0$, $\tau_\mu^{-1} = \left(F_{02} - \frac{F_{11}^2}{F_{20}} \right) \alpha > 0$

$$\tau_n < \tau_\mu$$

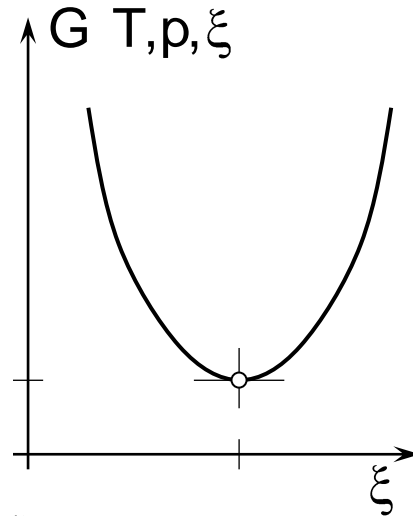
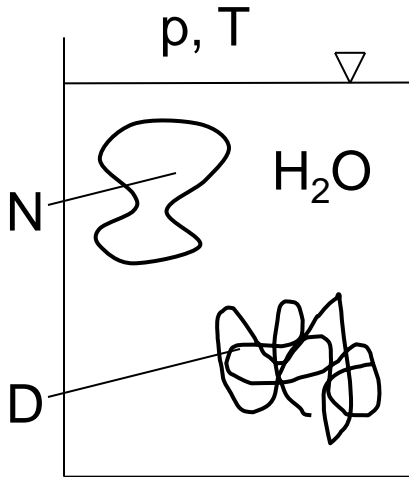
Adsorption Process

$$\Delta n_t = \frac{1}{\tau_\mu E} \int_0^t ds \left[\Delta\mu_s + \tau_n \Delta\dot{\mu}_s \right] e^{-t-s/\tau_\mu} ds$$

Protein structure / Adsorption sites

$$\Delta\xi_t = \frac{1}{F_{11}} \left\{ \Delta\mu - \alpha F_{20} \int_0^t ds \left[\Delta\mu_s + \tau_n \Delta\dot{\mu}_s \right] \right\} e^{-t-s/\tau_\mu} ds$$

Denaturation of Proteins, Thermodynamic Analysis, Equilibria



Reaction parameter:

$$n_N = n_{N0} - \xi, \quad dn_N = -d\xi$$

$$n_D = n_{D0} + \xi, \quad dn_D = d\xi$$

$$2,3 : dG = -\mu_N + \mu_D \, d\xi = 0$$

$$\rightarrow \underline{\mu_N = \mu_D} \quad 4$$

N ... Native (folded) state

D ... Denaturated (unfolded) state

$N \leftrightarrow D$ Quasichemical reaction (ξ)

$$G = G(T, p, n_N, n_D) = \mu_N n_N + \mu_D n_D \quad 1$$

$$dG = -SdT + Vdp + \mu_N dn_N + \mu_D dn_D \quad 2$$

Equilib.: $G \rightarrow \text{Min}, T = \text{const},$

$$p = \text{const}, n = \text{const}$$

$$dG = 0, \quad d^2G > 0 \quad 3$$

$$\mu_i = \mu_{i0}(T, p) + RT \ln \gamma_i x_i, \quad i = D, N \quad 5$$

$$5, 4 \quad \underbrace{\mu_{N0} - \mu_{D0}}_{-\Delta G} = RT \ln \frac{\gamma_D x_D}{\gamma_N x_N}$$

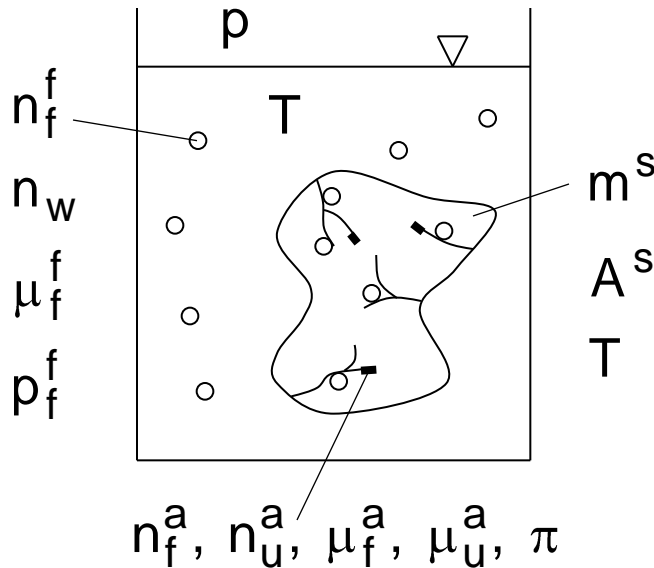
$$-\Delta G = RT \ln K_{\text{eq}}(T, p)$$

$$\underline{\underline{K_{\text{eq}} \doteq \frac{\gamma_D x_D}{\gamma_N x_N} = e^{-\Delta G/RT}}} \quad 6$$

Ideal solution: $\gamma_D = \gamma_N = 1$

Real solution: Margules, van Laar ...

Denaturation of Adsorbed Protein by Unfolding, Therostatic Equ.



Chemical potentials:

$$\mu_i^a(T, \pi, n_f^a, n_u^a) = \mu_{i0}^a(T, \pi) + RT \ln \gamma_i^a x_i^a \quad 2$$

Adsorption equilibria of pure components (f,u):

$$\mu_{i0}^a(T, \pi) = \mu_i^f(T, p_i^f), \quad i = f, u \quad 3$$

$$1A, 2, 3 : \frac{\gamma_f^a x_f^a}{\gamma_u^a x_u^a} = K(T, p_f^f, p_u^f) = \exp\left(-\frac{\mu_f^f - \mu_u^f}{RT}\right) \quad 4$$

Sorptive Sorbate Sorbent

(f↔u): Chemical equilibrium

$$G^a(T, \pi^a, n_f^a, n_u^a) \rightarrow \text{Min}$$

$$T = \text{const}, \quad \pi^a = \text{const} \quad 1$$

$$n^a = n_f^a + n_u^a = \text{const}$$

$$1 \rightarrow \frac{\mu_f^a = \mu_u^a}{1A}$$

Protein / Water ≅ Ideal gas / Vacuum

$$\mu_i^f(T, p_i^f) = \mu_i^f(T, p^+) + RT \ln\left(\frac{p_i^f}{p^+}\right) \quad 5$$

$$p_i^f = \frac{n_i^f}{n} \cdot \frac{nRT}{V n_w, n_f, n_u, p, T}, \quad i = f, u$$

Denaturation of Adsorbed Protein by Unfolding, Equilibria (2)

Law of Mass Action

$$4,5 \quad \frac{\gamma_f^a x_f^a}{\gamma_u^a x_u^a} = K_{T,p^+} \left(\frac{p_u^f}{p_f^f} \right) \quad 6 \rightarrow 8,9 : \frac{\gamma_f^a n_f^a}{\gamma_u^a n_u^a} = \overbrace{\left(K^+ \frac{b_f}{b_u} \right)}^{K_{fu}} \frac{n_u^a/n_{u\infty}}{n_f^a/n_{f\infty}} \left(1 - \frac{n_f^a}{n_{f\infty}} \right) \quad 10$$

$$K^+ = K_{T,p^+} = \exp \left(- \frac{\mu_f^f(T,p^+) - \mu_u^f(T,p^+)}{RT} \right) \quad 7$$

$$n = n_f + n_u = \text{const} \quad 11$$

Adsorption Isotherms of pure components (f,u):

$$n_f^a = n_{f\infty} \frac{b_f p_f^f}{1 + b_f p_f^f} \quad 8$$

$$n_u^a = n_{u\infty} b_u p_u^f \quad 9$$

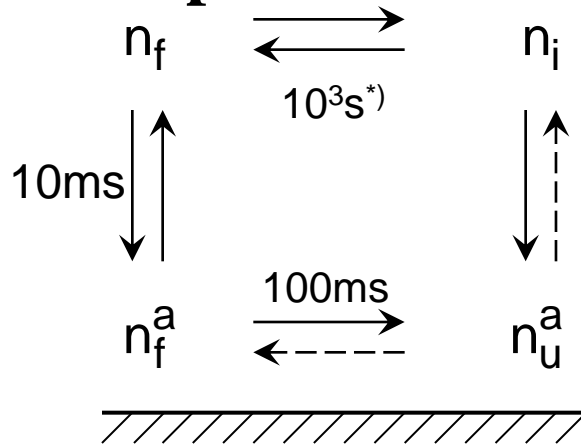
$$10,11 \rightarrow n_f, n_u \dots K_{fu}, n_{f\infty}, n_{u\infty}$$

Ideal adsorbate approx.: $\gamma_f^a = \gamma_u^a = 1$

Calib. exp.: $n_{f\infty}, n_{u\infty}$

1st Meas. : $n_f^a, n_u^a \rightarrow K_{fu}$

Adsorption-Induced Unfolding of Proteins, Basic Concepts



Mole Numbers :

n_f ... folded or native state

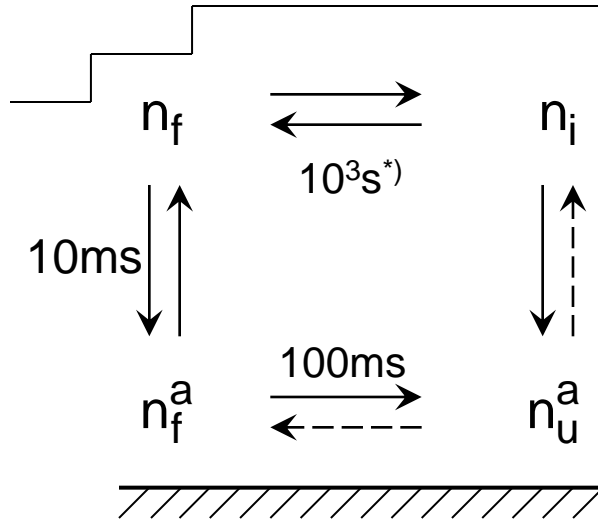
n_i ... intermediate or partially
unfolded state (molten globule)

n_f^a ... native state adsorbed

n_u^a ... unfolded or denatured
state of adsorbed protein

Measurement Methods

1. Enthalpy changes during defolding
Intramolecular changes
Multiple adsorption contacts
Changes of heat capacity
2. Spectroscopy
Fluorescence
Light scattering
Light absorption
3. Dielectric permittivity
4. Release of ions or atomic groups
during ($f \rightarrow u$)



Process equations

$$dn_f = d\xi_{fi} - dn_{fa} \quad 1$$

$$dn_i = -d\xi_{fi} - dn_{ia} \quad 2$$

$$dn_f^a = dn_{fa} - dn_{af}^a \quad 3$$

$$dn_u^a = dn_{fu}^a + dn_{ia} \quad 4$$

n_{xy} ... from phase (x) to phase (y)

Model equations for Chemical reaction (f \leftrightarrow i)

$$d\xi_{fi} = L \mu_f - \mu_i dt \quad \dots \quad \text{TIP} \quad 5$$

$$\mu_f = \mu_{f0} p^*, T + RT \ln x_f$$

$$\mu_i = \mu_{i0} p^*, T + RT \ln x_i$$

Adsorption / Desorption (f)

$$dn_{fa} = k_f^+ n_\infty - n_f^a - n_u^a c_f dt \quad 6$$

$$dn_{af}^a = k_f^- n_f^a dt$$

Adsorption (i)

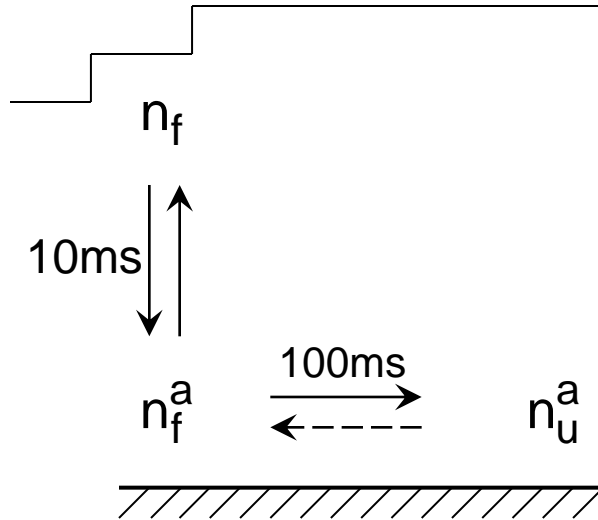
$$dn_{ia} = k_i^+ n_\infty - n_f^a - n_u^a c_i dt \quad 7$$

Unfolding process (f \rightarrow u)

$$dn_{fu}^a = \frac{1}{\tau_{fu}} n_f^a dt \quad 8$$

Adsorption-Induced Unfolding of Proteins, Langmuir Model:

4 ODE n_f, n_f^a, n_i, n_u^a **IC.**



Model equations (5 – 8):

Protein balance (f)

$$\dot{n}_f = -k_f^+ n_\infty - n_f^a - n_u^a c_f + k_f^- n_f^a \quad 9$$

Protein balance (a)

$$\dot{n}_f^a = k_f^+ n_\infty - n_f^a - n_u^a c_f - k_f^- n_f^a - \frac{1}{\tau_{fu}^a} n_f^a \quad 10$$

Process equations

$$dn_f = -dn_{fa} \quad 1$$

$$dn_f^a = dn_{fa} - dn_{fu}^a \quad 3$$

$$dn_u^a = dn_{fu}^a \quad 4$$

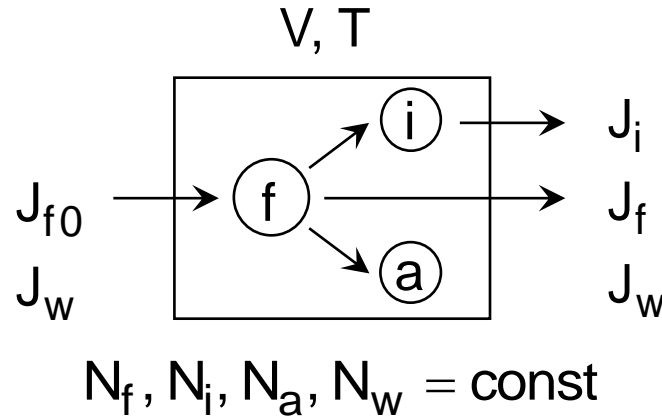
Unfolding process (relaxation process)

$$\dot{n}_u^a = \frac{1}{\tau_{fu}^a} n_f^a \quad 11$$

Equ. state: $n_f^a = 0$, $n_u^a = n_\infty$, $n_f = n_f^0 - n_\infty$

**Adsorption-Induced Unfolding of Proteins, Simple
Langmuir Model: 3 ODE n_f, n_f^a, n_u^a , Initial Conditions**

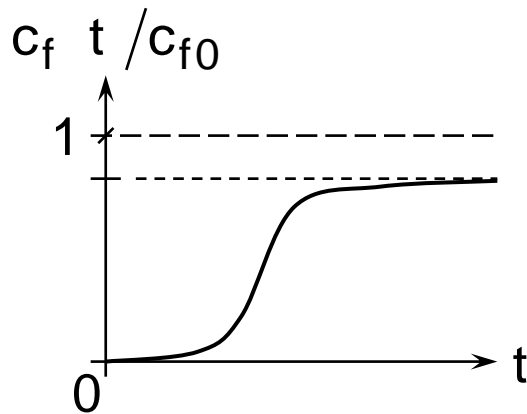
Adsorption Reactors with Incomplete Breakthrough (1)



Molarities

$$c_{f0} = \frac{N_{f0}}{V}, \quad c_f = \frac{N_f}{V}$$

$$c_i = \frac{N_i}{V}, \quad c_w = \frac{N_w}{V} = \text{const}$$



Molar balances

$$\dot{N}_f = J_{f0} - J_f - J_{fa} + J_{af} - J_{fi} \quad 1$$

$$\dot{N}_i = J_{fi} - J_{if} - J_i \quad 2$$

$$\dot{N}_a = J_{fa} - J_{af} \quad 3$$

Molar flows : $J_\alpha = c_\alpha J_V$

Volume flow: $J_V, \alpha = f, i, w$

$$J = \frac{\text{mol}}{\text{s}}, \quad J_V = \frac{\text{l}}{\text{s}}$$

Model equations for :

Adsorption / Desorption

$$J_{fa} = k^+ N_{a\infty} - N_a c_f \quad 4$$

$$J_{af} = k^- N_a \quad 5$$

Mass exchange CSTR

$$J_f = c_f J_V = \frac{N_f}{V} J_V \quad 6$$

$$J_i = c_i J_V = \frac{N_i}{V} J_V \quad 7$$

Chemical reaction $f \leftrightarrow i$

$$J_{fi} = k_{fi} x_f (1 - x_i) \quad 8$$

$$J_{if} = k_{if} x_i (1 - x_f) \quad 9$$

$$x_f = c_f / c = N_f / N, \quad x_i = c_i / c = N_i / N, \quad c = c_f + c_i + c_w, \quad N = N_f + N_i + N_w$$

Process equations 1-9 : 3 ODE

$$1 : \dot{N}_f = - \left[k^+ N_{a\infty} - N_a \frac{N_f}{V} - k^- N_a^- \right] - \frac{k_{fi}}{N^2} N_f (N_f + N_w) + N_{f0} - N_f \frac{J_V}{V} \quad 11$$

$$2 : \dot{N}_i = \frac{k_{fi}}{N^2} N_f (N_f + N_w) - \frac{k_{if}}{N^2} N_i (N_w + N_i) - N_i \frac{J_V}{V} \quad 12$$

$$3 : \dot{N}_a = k^+ N_{a\infty} - N_a \frac{N_f}{V} - k^- N_a \quad 13$$

Initial conditions: $t = 0, N_{f0}, N_{i0}, N_{a0},$
 $N_w = \text{const}$

Adsorption Reactors with Incomplete Breakthrough (2)



Stationary States : $\dot{N}_x = 0$, $x = f, i, a$

$$11 : N_f N_f + N_w = K_c N_{f0} - N_f N_f + N_i + N_w \quad 14$$

$$12 : N_f N_f + N_w - K_c N_i N_i + N_w =$$

$$= K N_i N_f + N_i + N_w \quad 2$$

$$13 : N_a = N_{a\infty} \frac{k N_f}{1 + k N_f} \quad 16$$

D:

$$K = \frac{J}{V k_{fi}} , k = \frac{k^+}{k^- V}$$

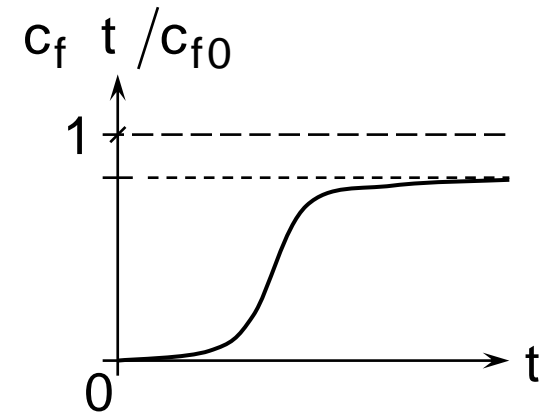
$$K_c = \frac{k_{if}}{k_{fi}}$$

Diluted Solutions : $N_w \gg N_f$, N_i

$$\rightarrow N_f = \frac{K}{1+K} N_{f0} < N_{f0}$$

$$15 \rightarrow N_i = \frac{N_f}{K N_w + K_c} > 0$$

$$\frac{c_f}{c_{f0}} = \frac{N_f}{N_{f0}} = \frac{K}{1+K} < 1$$



Adsorption Reactors with Incomplete Breakthrough (3)

Kinetics: Dilute Solutions Approximation: $N_w \gg N_f, N_i, N_w = \text{const}$

$$11 : \dot{N}_f = N_{f0} - N_f \frac{J_V}{V} - \left[k^+ N_{a\infty} - N_a \frac{N_f}{V} - k^- N_a \right] - k_{fi} \frac{N_f}{N_w} \quad 11a$$

$$12 : \dot{N}_i = k_{fi} \frac{N_f}{N_w} - k_{if} \frac{N_i}{N_w} - N_i \frac{J_V}{V} \quad 12a$$

$$13 : \dot{N}_a = k^+ N_{a\infty} - N_a \frac{N_f}{V} - k^- N_a \quad 13a$$

Parameter: $N_{a\infty}, k^+, k^-, N_{f0}, k_{fi}, k_{if}, N_w, J_V/V$

NL-ODE: 3, U: $N_f(t), N_i(t), N_a(t)$

Special Cases

1) No Adsorption

$$11a : \dot{N}_f = N_{f0} - N_f \frac{J_V}{V} - k_{fi} \frac{N_f}{N_w}$$

$$12a : \dot{N}_i = k_{fi} \frac{N_f}{N_w} - k_{if} \frac{N_i}{N_w} - N_i \frac{J_V}{V}$$

2) No Chemical Reaction ($f \leftrightarrow i$)

$$11a : \dot{N}_f = N_{f0} - N_f \frac{J_V}{V} - \left[k^+ N_{a\infty} - N_a \frac{N_f}{V} - k^- N_a \right]$$

$$13a : \dot{N}_a = k^+ N_{a\infty} - N_a \frac{N_f}{V} - k^- N_a$$

Adsorption Bioreactors with Incomplete Breakthrough (4)