# Vibrational Spectroscopy (IR, Raman)

Vibrational spectroscopy is an energy sensitive method. It is based on periodic **changes** of **dipolmoments (IR)** or **polarizabilities (Raman)** caused by molecular vibrations of molecules or groups of atoms and the combined **discrete energy transitions** and changes of frequencies during **absorption (IR)** or **scattering (Raman)** of electromagnetic radiation of wavelengths from 1 to 300  $\mu$ m (**selection rules**). One can get/detect:

- the presence of known compounds (finger print)
- the components of an unknown compound (functional groups)
- and thus a likely structure of a compound
- changes in the concentration of a species during a reaction
- the properties of bonds (bond strength, force constants)
- state and order parameters of phase transitions

# Vibrational Spectroscopy (IR, Raman)

In order to describe the 3N-6 or 3N-5 different possibilities how non-linear and linear molecules containing N atoms can vibrate, the models of the harmonic and anharmonic oscillators are used.

These modes of vibration (normal modes) give rise to

• absorption bands (IR) if the sample is irradiated with polychromatic light of suitable wavelengths upon changes of the dipole moment  $\mu = \alpha \cdot E + \beta \cdot E^2 + ...$ 

• scattered light (Raman) if the sample is irradiated with monochromatic light of a suitable wavelength upon changes of the polarizabilities  $\alpha$ 

with characteristic energies/frequencies/wavenumbers, intensities and Fwhm's to be determined and analyzed.

The frequencies are in the range of  $10^{12}$  to  $3 \cdot 10^{14}$  Hz with vibrational energies from 0.4 to 120 kJ/mole ( $4 \cdot 10^{-3} - 1.24 \text{ eV}$ ), wavenumbers from 33 to  $10^4$  cm<sup>-1</sup>, and wavelenghts from 300 to 1  $\mu$ m.

The intensities are proportional to the square of the changes of the dipole moments and polarizabilities.

### Wavelengths and energies in vibrational spectroscopy



Vis, IR, and Raman areas drawn in a scale of linear wavenumbers and some lasers sources



# Vibrational Spectroscopy - the main principle



- Extension from r₀ (equilibrium distance)
  → Absorption of energy E
- $\rightarrow$  Relaxation to  $r_0$
- → Vibration

Center of mass is not allowed oo shift during the vibration

F = -k x  $V = \int -F dx = \int k x dx$   $V = \frac{1}{2} k x^{2}$ 

Spring with rate/spring constant k

→ Hooke´s law

Together with molecular vibrations also molecular rotations are excited as well since rotational energies are much smaller ( $\sim 0.01 \cdot E_{vib.}$ )!

### Harmonic vibrational levels



Warning: Molecular vibrations are essentially anharmonic!

### Vibrational energy levels in harmonic/anharmonic approximation

$$E_{\text{VIB}} = h v_{\text{osc}} \left( n + \frac{1}{2} \right) = \frac{h}{2\pi} \sqrt{\frac{k}{\mu}} \left( n + \frac{1}{2} \right)$$
$$n = 0, 1, 2, \dots \qquad \Delta n = \pm 1$$
$$\Delta E_{\text{VIB}} = E_{n+1} - E_n = h v_{\text{osc}}$$

n Schwingungsguantenzahl

- h Planck-Wirkungsquantum
- *E*<sub>VIB</sub> Schwingungsenergie (VIB von Vibration)



#### **Potential curve of the** *harmonic* **oscillator** ( $E_n$ : Vibrational levels, $E_0$ : Zero-point energy)

condition for IR  $d\mu \neq 0$ , condition for Raman:  $d\alpha \neq 0$ Selection rules!

 $E_{VIB} = hv_{osc}(n + \frac{1}{2}) - \frac{h^2v^2}{(4E_D)} \cdot (n + \frac{1}{2})^2 \quad (\Delta n = \pm 1, \pm 2, ...)$ 

For *anharmonic* vibrations the distances of neighboring vibrational levels decrease with increasing n (the thickness of the arrows stand for the transition probabilities and intensities respectively).



**Potential curve of the** *anharmonic* **oscillator**  $(E_0: Zero-point energy, E_D: Dissociation energy)$ 

### Vibrational states and frequencies



Excitation of a vibrational state in the electronic ground state  $S_0$  by a: infrared absorption, b: Raman scattering, c: inelastic neutron scattering, d: fluorescence.





Vibrational coupling in zig-zag chains of different lengths

Variation of frequencies in case of a free molecule (a), static (b) and dynamical (c) coupling in a crystal lattice, and dependence on the wave vector **k** for all unit cells **7** 

# Normal modes

Each atom of a molecule (structure) has three degrees of freedom (dof) with respect to displacements, resulting in 3N dof for N atoms. Substracting the dof for translations (3) and rotations (3 or 2), 3N-6 and 3N-5 degrees of freedom are expected for non-linear and linear N-atomic molecules, respectively.

The corresponding vibrations are called normal modes. It is valid that:

- 1. All atoms of a molecule move with the same frequency and in phase, and they move simultaneously through the points of maximum elongation and equilibrium displacement  $r_0$  while the mass center remains unchanged.
- 2. The amplitudes of the different particles can be different.
- 3. The normal vibrations (typically) do not interfere with each other (orthogonality principle).
- 4. The number of normal vibrations (vibrational degree of freedom) is

3N-6 for non-linear molecules

3N-5 for linear molecules

# Normal modes

**Every vibrational mode** exhibits its **own "pattern** (vector, matrix)" for the atomic displacements  $(\pm \Delta x, \pm \Delta y, \pm \Delta y)$ , leading to normal coordinates, but the **vibrational modes** are usually **not known**:

Assignment of the vibrational modes via symmetry properties of the molecules (point group, irreducible representation, character, character tables).

→ Symmetry of vibrations (symmetry species = *Rassen*, types of vibration)

Symmetry species (Rassen) of the modes are denoted after Mulliken:

- A = symmetric, B = antisymmetric with respect to  $C_n$ ;
- E, F, G, H = 2-, 3-, 4-, 5-fold degenerate with respect to  $C_n$ ;
- g = symmetric with respect to i (from German *gerade*);
- u = antisymmetric with respect to i (from German *ungerade*);

Index subscripts of A or B: 1 = symmetric, 2 = antisymmetric with respect to C<sub>n</sub> or S<sub>n</sub> (a mirror plane);

Example:  $A_{2g}$  is a vibration that is symmetric with respect to  $C_n$  and i (character = 1) and antisymmetric with respect to  $S_n$  or  $\sigma$  (character = -1).

### Normal modes



Character table for space group  $C_{3v}$ 

### Normal modes (Examples)

3N - 6 Modes (3N - 5, if linear)



and their wavenumbers

Normal modes of vibration (IR-) activity Dipole moment changes during the vibration!



### Normal modes (Examples)





**Fig. 3.12** The vibrational modes of  $SO_2(C_{2v})$ .

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### Normal modes (Examples)



**Fig. 3.13** The vibrational modes of SO<sub>3</sub> ( $D_{3h}$ ); only three are IR active. The + and – notation is used to show the 'up' and 'down' motion of the atoms during the mode of vibration. [*Exercise*: Two of the modes are labelled as being degenerate: why is this?]

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**Fig. 3.15** The vibrational modes of  $[PtCl_4]^{2-}$  ( $D_{4h}$ ); only the three modes (two of which are degenerate) shown in the lower row are IR active. The + and – notation is used to show the 'up' and 'down' motion of the atoms during the mode of vibration

### Typical values for stretching and bending vibrations

"Molecule"	stretching	bending
С-Н	2800 - 3000	
N - N	3300 - 3500	
H <sub>2</sub> O	3600 - 3000	1600
C = O	1700	
$\mathbf{C} = \mathbf{C}$	1600	
SO <sub>3</sub> <sup>2-</sup>	970 (v <sub>s</sub> ) 930 (v <sub>as</sub> )	620 (γ) 470 (δ)

### Sources for IR- (and Raman-) radiation



Conventional lamps are not adequate, because near IR:  $\sim$  700 to 1400 nm; mid-wavelength/far IR: > 1400 nm

#### IR-Spektroskopie

### IR-Source (Globar, Nernst-Lamp)





new schematic used Globar (SiC, ~1.500 K)

Nernst lamp with Nernst rod  $ZrO_2/Y_2O_3$  ionic conductor, 1.900 K

All heated materials emit infrared radiation

http://www.techniklexikon.net/d/nernst-brenner/nernst-brenner.htm

### IR-sources, monochromators and detectors

Range	Source	Monochromator	Detector
Far IR	Nernst rod (ceramic rod	CsI-prism; grating	Bolometer
Mid IR	with heating coil)	LiF-prism; grating	Bolometer
Near IR	Light-bulb	quartz-prism	PbS-Cell; Se-Cell

**Nernst rod:** cub.  $ZrO_2$  stabilized by rare earth elements (e.g.,  $Y^{3+}$ )

Near IR:  $\sim$  700 bis 1400 nm; Mid-wavelenght/Far IR: > 1400 nm

### **IR-Detectors**



Main principle and a picture of a bolometer: A cooled metal foil (Pt, Au) absorbs IR radiation. The resulting rise of the temperature is detected by a resistor-type thermometer.

### IR - Spectrometer



Double beam, optical grating



Fourier-Transform (FT)

### Fourier-transform spectroscopy

"Classical" (grating, prism) IR spectroscopy has been replaced by the much faster FTIR spectroscopy. In the case of the "classical" (i.e. non FT) infrared spectroscopy the different wavelengths had to be measured successively. In the case of the FTIR technique the complete range of interest is measured at once. The fundamental instrument for FTIR is the **Michelson interferometer** that replaces the monochromator. The sample is irradiated by polychromatic light and a movable mirror produces a time dependent signal that is transformed by Fourier transformation into a frequency spectrum.

### Fouriertransform (FT) spectrometry

#### a) Michelson Interferometer

S radiation source, Sa sample chamber, D detector, A amplifier, M1 fixed, M2 movable mirror, BS beam splitter, x mirror deflection, L distance

b) InterferogramSignal recorded by the detector

c) SpectrumObtained by Fourier transform (FT)From the interferogram



Schematic representation of a Michelson interferometer (a) with interferogram (b) and spectrum (c) obtained by Fourier transform.

### Examples from current research activities



# Raman spectroscopy

Irradiation of a sample with monochromatic light of a suitable wave length may force oscillations of the electrons.

A small portion  $(I_{Rayleigh}/I_0 \sim 10^{-5})$  of the absorbed radiation energy is scattered with the same frequency as the incident light into all directions of space (elastic, Rayleigh scattering).

An even smaller portion  $(I_{Raman(Stokes)}/I_0 \sim 10^{-8})$  of the irradiated energy will be transformed into molecular vibrations (with a corresponding change of the polarizability tensor  $\alpha$ ) and thus leads to an absorption of vibrational energy from the scattered light. The scattered light therefore has a lower frequency than the irradiated light (inelastic, Raman scattering, Stokes).

If the radiation interacts with a vibrational excited molecule then the scattering process may result in an emission of energy to the scattered light. Thus the scattered light has a larger frequency compared to the irradiated light (inelastic, Raman scattering, anti-Stokes,  $I_{Raman(anti-Stokes)}/I_0 \sim 10^{-11}$ ).

Raman scattering requires a change of the polarizability  $\alpha$ . The intensity of the scattered light is proportional to the square of the change of the polarizability.

#### Raman spectroscopy



Schematic representation of the energy levels Raman scattering, anti-Stokes; Rayleigh scattering; Raman scattering, Stokes  $(v_0 = Frequency of the irradiated light, v_M = vibrational frequency of the molecule)$ Resonance Raman bands with high intensity occur if instead of a forced oscillation an electronically excited state is generated (absorption).

#### Molecular vibrations of PCl<sub>3</sub> and comparable molecules

#### c) XY<sub>3</sub>, pyramidenförmig (C<sub>3v</sub>)

Diese Moleküle enthalten als Symmetrieelemente eine dreizählige Achse und drei Symmetrieebenen, in welchen die Bindungen X-Yliegen. Die zugehörige Punktgruppe ist  $C_{3v}$ ; Abzählung der Normalschwingungen und ihre Symmetrieeigenschaften zeigt Tab. 22.

Klas- se				Abzählung						
	Caz	σz	Ra	UR	XY3 Pyramide	ZXY3 Tetraeder	CH <sub>3</sub> CN	H <sub>3</sub> SiNCS	P4S3	NH3.BF3
$\begin{array}{c} A_1 \\ A_2 \\ E \end{array}$	8 8 6	8 as 6	$p = \frac{p}{dp}$	m₂ 	2v, 18	2v, 18	3v, 18	4ν, 1δ 	$3v, 1\delta \\ 1\tau \\ 3v, 2\delta$	$3v, 2\delta$ $1\tau$ $2v, 4\delta t$

Tabelle 22. Punktgruppe  $C_{3v}$ . Symmetrieelemente:  $C_{3z}$ ,  $\sigma_x$ ,  $2\sigma_v$ 

Die Zuordnung ergibt sich aus den Polarisationsverhältnissen im Raman-Effekt und aus der Rotationsstruktur der UR-Banden (symmetrische Kreisel). Ferner ist  $v_1$  im Ra im allgemeinen stark,  $v_3$  schwach. Im UR-Spektrum liegen die Verhältnisse umgekehrt. Die Schwingungsformen zeigt Abb. 19, die Frequenzen der untersuchten Moleküle Tab. 23.



 $PCl_3$  is a tetra-atomic molecule with  $C_{3v}$  symmetry

#### Raman spectroscopy



Raman spectrum of  $PCl_3$  (liquid) in the *Stokes*- and *anti-Stokes* range exciting line 514,5 nm  $\equiv$  19436 cm<sup>-1</sup> (Ar Laser)

#### Raman spectroscopy

Schematic representation for the observation of the Raman effect



The low intensities of the scattered radiation  $(I_{Ra(St)} \sim 10^{-8} \cdot I_0, I_{Ra(anti-St)} \sim 10^{-11} \cdot I_0)$  requires the use of lasers.

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Raman spectroscopy
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# Different types of lasers for the use in Raman spectroscopy (and UV-Vis)



The use of lasers is necessary because of the low intensity of the scattered light.

#### IR-/Raman spectroscopy

### Raman and IR active vibrational modes of CO<sub>2</sub>



Raman active are those vibrations, at which the polarizability  $\alpha$  changes<br/>(different in the inversion points).Raman condition:  $d\alpha \neq 0$ IR active are those vibrations, at which the dipole moment  $\mu$  changes (different<br/>in the inversion point).IR condition:  $d\mu \neq 0$ 

#### IR-/Raman spectroscopy

### Exclusion rule in IR and Raman spectra



Polarizability changes  $(\delta \alpha / \delta q)$  of the fundamental modes  $(v_{as}, v_s, \delta)$  and IR and Raman spectra of the CS<sub>2</sub> molecule

### Exclusion rule in IR and Raman spectra



IR/Raman spectra and vibrational modes of Hg<sub>2</sub>I<sub>2</sub>

#### IR-/Raman spectroscopy



### Temperature effects and exclusion rule

FIR and Raman spectra of Hg<sub>2</sub>Br<sub>2</sub>



On-line Raman spectra of the exhaust fumes of a 2 cycle engine

#### IR-/Raman spectroscopy



Schematic representation of the energy-levels and spectra of different spectroscopic transitions. The anharmonicity of a vibration is exaggerated and the distance  $S_1$ - $S_0$  is strongly compressed. A = Absorption, I = Intensity

### IR-/Ramanspektroskopie

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