## NIELSEN COINCIDENCE THEORY OF FIBRE-PRESERVING MAPS AND DOLD'S FIXED POINT INDEX

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Dedicated to Albrecht Dold on the occasion of his 80th birthday

ABSTRACT. Let  $M\to B,\ N\to B$  be fibrations and  $f_1,f_2:M\to N$  be a pair of fibre-preserving maps. Using normal bordism techniques we define an invariant which is an obstruction to deforming the pair  $f_1,f_2$  over B to a coincidence free pair of maps. In the special case where the two fibrations are the same and one of the maps is the identity, a weak version of our  $\omega$ -invariant turns out to equal Dold's fixed point index of fibre-preserving maps. The concepts of Reidemeister classes and Nielsen coincidence classes over B are developed. As an illustration we compute e.g. the minimal number of coincidence components for all homotopy classes of maps between  $S^1$ -bundles over  $S^1$  as well as their Nielsen and Reidemeister numbers.

### 1. Introduction and outline of results

Throughout this paper we consider the following situation:

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$$(1.1) \qquad f, f_1, f_2, f', f'_1, f'_2, \dots : M^m \qquad \longrightarrow \qquad N^n$$

$$\searrow p_M \qquad \swarrow p_N$$

$$\nearrow p_N$$

Here  $B^b, M^m$  and  $N^n$  are smooth connected manifolds of the indicated dimensions, without boundary,  $B^b$  and  $M^m$  being compact. Moreover  $p_M$  and  $p_N$  are smooth fibre maps with fibres  $F_M$  and  $F_N$ , resp. The (continuous) maps  $f_1, f_2, f, ...$  as well as homotopies between them are always assumed to be fibre-preserving (so that e.g.  $p_N \circ f = p_M$ ); we also call them maps and homotopies over B and write  $f \sim_B f'$  if f, f' are homotopic in this sense. From now on we will drop the superscript which denotes the dimension of the manifold, unless this simplification is going to cause some confusion.

### Question 1.2. Can the coincidence locus

$$C(f_1, f_2) := \{x \in M \mid f_1(x) = f_2(x)\}\$$

be made empty by suitable homotopies of  $f_1$  and  $f_2$  over B? (If  $f_1$  and  $f_2$  can be deformed away from one another in this way we say that the pair  $(f_1, f_2)$  is loose over B or, shortly, B-loose).

More generally, we would like to estimate the  $minimum\ number\ of\ path components$ 

$$(1.3) MCC_B(f_1, f_2) := min\{\#\pi_0(C(f_1', f_2')) \mid f_i \sim_B f_i', i = 1, 2\}$$

of coincidence subspaces in M, achieved by suitable deformations of  $f_1$  and  $f_2$  over B.

For this purpose we study the geometry of the map

After small deformations of  $f_1$  and  $f_2$  over B this map is smooth and transverse to the diagonal  $\Delta$  so that the coincidence locus

(1.5) 
$$C = C(f_1, f_2) = (f_1, f_2)^{-1}(\Delta) = \{x \in M \mid f_1(x) = f_2(x)\}\$$

is an (m-n+b)-dimensional smooth submanifold of M.

Moreover the tangent map of  $(f_1, f_2)$  induces an isomorphism of the normal bundles

(1.6) 
$$\bar{g}_B^{\#} : \nu(C, M) \cong ((f_1, f_2)|C)^*(\nu(\Delta, N \times_B N)) \cong f_1^*(TF(p_N))|C;$$

here  $TF(p_N)$  denotes the tangent bundle along the fibres of  $p_N$ .

A third important coincidence datum is the lifting

(1.7) 
$$E_B(f_1, f_2) := \{(x, \theta) \in M \times P(N) \mid p_N \circ \theta \equiv p_M(x), \\ f_1(x) \downarrow pr \\ f_2(x) \downarrow f_2(x), \\ f_3(x) \downarrow f_2(x), \\ f_4(x) \downarrow f_4(x), \\ f_4(x) \downarrow$$

defined by  $\tilde{g}_B(x) = (x, constant \ path \ at \ f_1(x) = f_2(x))$ . Here P(N) (and pr resp.) denote the space of all continuous paths  $\theta : [0,1] \to N$ , with the compact-open topology (and the obvious projection, resp.; compare [10], (5)).

The bordism class

(1.8) 
$$\omega_B^{\#}(f_1, f_2) = [(C \subset M, \tilde{g}_B, \bar{g}_B^{\#})]$$

of the resulting triple of the coincidence data (1.5)-(1.7) (which keeps track of the embedding of C in M and of its nonstabilized normal bundle) is independent of our choice of small deformations. It is our strongest obstruction to making the pair  $(f_1, f_2)$  loose over B. In certain settings (e.g. if  $N = B \times S^{n-b}$ ) it yields a complete homotopy classification for maps over B. However, this ("strong")  $\omega$ -invariant is often hard to compute.

The stabilized version

(1.9) 
$$\tilde{\omega}_B(f_1, f_2) = [(C, \tilde{g}_B, \bar{g}_B)] \in \Omega_{m-n+b}(E_B(f_1, f_2); \tilde{\varphi})$$

is much more manageable. It forgets about the map  $g := pr \circ \tilde{g}_B$  (cf. 1.7) being an embedding, retains only the *stable* vector bundle isomorphism

$$(1.10) \bar{g}_B: TC \oplus g^*(f_1^*(TF(p_N))) \oplus \mathbb{R}^k \cong g^*(TM) \oplus \mathbb{R}^k, \quad k >> 0,$$

(compare 1.6) and lies in the normal bordism group of singular (m - n + b)—manifolds in  $E_B(f_1, f_2)$ , with coefficient bundle

(1.11) 
$$\tilde{\varphi} := pr^*(f_1^*(TF(p_N)) - TM) = pr^*(f_1^*(TN) - p_M^*(TB) - TM)$$

(compare e.g. [7], 2.1). The path space  $E_B(f_1, f_2)$  and the resulting normal bordism group depend on the maps  $f_1, f_2$ , but homotopies induce group isomorphisms which preserve the  $\tilde{\omega}_B$ -invariants (compare [9], 3.3). Therefore  $\tilde{\omega}_B(f_1, f_2)$  vanishes if  $f_1$  and  $f_2$  can be deformed to become coincidence free. In a suitable "stable dimension range" the converse holds.

Theorem 1.1. Assume that

$$m < 2(n-b) - 2.$$

Then a pair  $(f_1, f_2)$  is loose over B if and only if  $\tilde{\omega}_B(f_1, f_2) = 0$ .

In the proof (outlined in the section 2 below) the path-space  $E_B(f_1, f_2)$  plays a significant rôle: the lifting  $\tilde{g}_B$  (cf. 1.7) allows us to construct the homotopies which deform  $f_1$ ,  $f_2$  away from one another. Quite generally  $E_B(f_1, f_2)$  is a very interesting space with a rich topology. Already its decomposition into pathcomponents leads to the fibre theoretical analogue of the (algebraic) Reidemeister equivalence relation (on  $\pi_1(F_N)$ ) and to the corresponding notion of the Nielsen numbers

(1.12) 
$$N_B(f_1, f_2) \le N_B^{\#}(f_1, f_2) \le MCC_B(f_1, f_2).$$

These are nonnegative integers counting the path-components of  $E_B(f_1, f_2)$  which contribute non-trivially to  $\tilde{\omega}_B(f_1, f_2)$  and  $\omega_B^{\#}(f_1, f_2)$ , resp. (for details see section 4 below). Clearly these Nielsen numbers form lower bounds for the minimum number  $MCC_B(f_1, f_2)$  (cf. 1.3); in particular, they are simple numerical looseness obstructions. Moreover the Nielsen numbers are obviously smaller or equal to the geometric Reidemeister number

(1.13) 
$$\#R_B(f_1, f_2) := \#\pi_0(E_B(f_1, f_2))$$

(i.e. the number of path-components of the space  $E_B(f_1, f_2)$ , cf. 1.7; its relation to the classical (algebraic) Reidemeister number will be explained in section 3).

Another simplification of our  $\tilde{\omega}_B$ -invariant forgets about the path-space  $E_B(f_1, f_2)$  and the lifting  $\tilde{g}_B$  altogether and keeps track only of the inclusion  $g: C \subset M$  (as a continuous map) and of the description of the stable normal bundle of C given by (1.10). We obtain the normal bordism class

(1.14) 
$$\omega_B(f_1, f_2) = [(C, g, \bar{g}_B)] \in \Omega_{m-n+b}(M; \varphi)$$

where

(1.15) 
$$\varphi := f_1^*(TF(p_N)) - TM = f_1^*(TN) - p_M^*(TB) - TM$$

(compare 1.11). Homotopies of  $f_2$  yield bordant triples of coincidence data  $(C, g, \bar{g}_B)$  and hence the same  $\omega_B$ -invariants.

**Special case 1.16 (trivial base space):** If the base space B consists of a single point we drop the subscript B from our notations and obtain the invariants  $\omega^{\#}$ ,  $N^{\#}$ ,  $\tilde{\omega}$ , N and  $\omega$  discussed in [8], [9] and [10]. (For further literature concerning this special case see e.g. [2], [3], [4], [5], [11] and [12] as well as the references listed there).

**Special case 1.17 (trivial target fibration):** If the target fibration is a product,  $N = B \times F_N$ , we may write  $f_i =: (p_M, f_i')$ , i = 1, 2. Then the  $\omega_B^\# -$ ,  $\tilde{\omega}_B -$  and  $\omega_B -$ invariants of  $(f_1, f_2)$  are related to the corresponding (unfibered) invariants of  $(f_1', f_2')$  via bijections (which preserve 0); in particular

$$N_B^{\#}(f_1, f_2) = N^{\#}(f'_1, f'_2)$$
 and  $N_B(f_1, f_2) = N(f'_1, f'_2)$ .

Special case 1.18 (fixed points): If the two fibrations coincide and  $f_1$  is the identity map id, then C(id, f) is the fixed point locus of f, the coefficient bundles  $\tilde{\varphi}$  and  $\varphi$  are the pullbacks of the virtual vector bundle -TB under projections, and our  $\omega$ -invariant can be weakened further to yield the bordism class

$$(1.19) p_{M*}(\omega_B(id, f)) = [(C \xrightarrow{p_M|} B, \bar{g}_B : TC \xrightarrow{\cong} (p_M|)^*(TB))] \in \Omega_b(B; -TB)$$

This procedure neglects the "vertical" aspects of our fixed point data.

On the other hand A. Dold [1] has defined a fixed point index  $I^h(f)$  of f for every multiplicative generalised cohomology theory h with unit. In view of the universality property of stable cohomotopy theory the strongest ("universal") version of Dold's index takes the form

(1.20) 
$$I(f) \in \pi_{stable}^0(B^+) = \underline{\lim}[\Sigma^k B^+, S^k];$$

and actually classifies certain "horizontal" fixed point phenomena (cf. [1], theorem 4.3); here  $B^+$  denotes the space B with a disjointly added point.

Note that the Pontrjagin-Thom procedure yields a canonical isomorphism

$$(1.21) PT : \pi_{stable}^{0}(B^{+}) \xrightarrow{\cong} \Omega_{b}(B; -TB)$$

(which will be described in section 5 below).

Theorem 1.2. For every map  $f: M \to M$  over B

$$I(f) = (PT)^{-1}(p_{M*}(\omega_B(id, f))).$$

The proof will be given in section 5 below.

As in illustration of our notions and methods we calculate the minimum number  $MCC_B$  (as well as the Reidemeister and the Nielsen numbers) and the  $\omega_B$ -invariant for all pairs of B-maps involving the torus and/or the Klein bottle over  $B=S^1$ . Note that this is way outside of the stable dimension range discussed in theorem 1.1.

**Example 1.22** ( $S^1$ -bundles over  $S^1$ ): Let M, N be (possibly different) fibre spaces over  $S^1$  with fibre  $S^1$ . Thus M (and also N) is either the torus

$$(1.23) T = S^1 \times S^1 = I \times S^1/(0, z) \sim (1, z), z \in S^1$$

or the Klein bottle

(1.24) 
$$K = I \times S^1/(0, z) \sim (1, \bar{z}), z \in S^1$$

with the standard projection to  $I/0 \sim 1 = S^1$ . We define two sections  $s_{\epsilon}$ ,  $\epsilon = \pm 1$ , by

$$(1.25) s_{\epsilon}([t]) = [(t, \epsilon)].$$

Given a map  $f:M\to N$  over  $S^1$  we have two well defined numerical invariants:

$$(1.26) q(f) := (degree \ of \ f|: F_M \to F_N) \in \mathbb{Z}$$

(this vanishes if  $M \neq N$ ); and

$$(1.27) r(f) := degree of (B = S^1 \xrightarrow{f \circ s_{+1}} N = [0, 1] \times S^1 / \sim \longrightarrow S^1);$$

this lies in  $\mathbb{Z}$  (and in  $\mathbb{Z}_2$ , resp.) if N=T (and if N=K and f preserves the base point [(0,1)], resp.); this number measures roughly how often the section  $f\circ s_{+1}$  (assumed to be base point preserving if N=K) "winds around the fiber in N". A base point free description of r(f) in the case N=K is as follows: r(f) equals the mod 2 integer 0 (and 1, resp.) if  $f\circ s_{+1}$  is homotopic (through sections in K) to  $s_{+1}$  (and to  $s_{-1}$ , resp.).

Returning to the base point free setting we obtain:

PROPOSITION 1.1. Two maps  $f, \hat{f}: M \to N$  over  $S^1$  are homotopic over  $S^1$  if and only if  $q(f) = q(\hat{f})$  and  $r(f) = r(\hat{f})$ .

Thus each homotopy class can be represented by a map in a rather natural standard form (enjoying constant angular velocities both along each fibre and for  $f \circ s_{+1}$ ). This is very helpful when we analyse coincidence data.

Now consider any two maps  $f_1, f_2: M \to N$  over  $S^1$  and put

$$(1.28) q := q(f_1) - q(f_2) and r := r(f_1) - r(f_2)$$

(compare 1.26 and 1.27).

THEOREM 1.3. The minimum number  $MCC_B(f_1, f_2)$  is equal to the Nielsen numbers  $N_B(f_1, f_2)$  and  $N_B^{\#}(f_1, f_2)$  (and also to  $\#R_B(f_1, f_2)$  whenever this Reidemeister number is finite). More precisely:

(i) Assume  $N = S^1 \times S^1$ . Then  $(q, r) \in \mathbb{Z} \times \mathbb{Z}$  and we have:

$$gcd(q,r) = MCC_B(f_1, f_2) = N_B(f_1, f_2) = \#R_B(f_1, f_2) \quad if \quad (q,r) \neq (0,0);$$

$$0 = MCC_B(f_1, f_2) = N_B(f_1, f_2) \neq \#R_B(f_1, f_2) = \infty \quad if \quad (q, r) = (0, 0).$$

In particular, the pair  $(f_1, f_2)$  is loose over B if and only if  $f_1 \sim_B f_2$ .

(ii) Assume N = K. Then  $(q, r) \in \mathbb{Z} \times \mathbb{Z}_2$  and we have: if  $q \neq 0$ :

$$MCC_B(f_1, f_2) = N_B(f_1, f_2) = \#R_B(f_1, f_2) = \begin{cases} |q|/2 & \text{if } q \text{ even}, \ r = 1 \\ [|q|/2]] + 1 & \text{else}; \end{cases}$$

$$if \ q = 0 : MCC_B(f_1, f_2) = N_B(f_1, f_2) = \begin{cases} 0 & if \ r \neq 0 \\ 1 & if \ r = 0 \end{cases} \neq \#R_B(f_1, f_2) = \infty.$$

In particular, the pair  $(f_1, f_2)$  is loose over B if and only if it consists of two "antipodal" maps, i.e.  $-f_1 \sim_B f_2$ .

Note that here the value of the Nielsen number is always 0 or 1 or the Reidemeister number. A similar result in an entirely different setting was proved in [12], theorem 1.31.

Clearly, in all of example 1.22 the  $\tilde{\omega}_B$ -invariant is a complete looseness obstruction. Actually, already its weaker version  $\omega_B(f_1, f_2) \in \Omega_1(M; \varphi)$  (cf. 1.14) allows us to distinguish maps up to homotopy over  $S^1$ .

THEOREM 1.4. Let (M, N) be any of the four combinations of  $S^1$ -bundles over  $S^1$  and let  $f_1, f_2 : M \to N$  be maps over  $S^1$ . Then there are canonical isomorphisms which describe  $\Omega_1(M; \varphi)$  (and correspondingly  $\omega_B(f_1, f_2)$ ) as (an element of) a direct sum of three groups, as follows (compare proposition 1.1 and theorem 1.3)

(M,N)	$\Omega_1(M;\varphi)$		$\omega_B(f_1,f_2)$	
(T,T)	$\mathbb{Z}\oplus\mathbb{Z}\oplus\mathbb{Z}_2$	q	r	
(K, K)	$\mathbb{Z}\oplus\mathbb{Z}_2\oplus\mathbb{Z}_2$	q	$r+1+\rho_2(q)$	$\rho_2(N_B(f_1, f_2))$
(K,T)	$0 \oplus \mathbb{Z} \oplus \mathbb{Z}_2$	q	r	(compare
(T,K)	$0\oplus \mathbb{Z}_2\oplus \mathbb{Z}_2$	q	r+1	$theorem \ 1.3)$

Here  $\rho_2: \mathbb{Z} \to \mathbb{Z}_2$  denotes reduction mod 2.

In particular, for every map  $f: M \to N$  over  $S^1$  the "fibred degree" (or "root invariant")  $\omega_B(f, s_{+1} \circ p_M)$  determines q(f) and r(f) and hence the homotopy class (over  $S^1$ ) of f.

Remark 1.29. In view of proposition 1.1 the homotopy class of f is already determined by the first two components of  $\omega_B(f, s_{+1} \circ p_M)$  or, equivalently, by

$$\mu(\omega_B(f, s_+ \circ p_M)) \in H_1(M; \tilde{\mathbb{Z}}_{\varphi})$$

where  $\mu$  denotes the Hurewicz homomorphism into the first homology group of M with integer coefficients (which are twisted like the orientation line bundle of  $\varphi$ ). This is a very special phenomenon, related to the fact that both the torus and the Klein bottle are  $K(\pi,1)'s$ . For general M and N the methods of singular homology theory are often far too weak, and the full power of our approach (based on normal bordism theory and the pathspace  $E_B(f_1, f_2)$ ) yields better results.

Remark 1.30. Consider a selfmap of the torus T or the Klein bottle K over  $S^1$ . Dold's fixed point index [1] in its strongest form lies in

$$\pi^0_{stable}((S^1)^+) \cong \Omega^{fr}_1(S^1) \cong \mathbb{Z} \oplus \mathbb{Z}_2$$

and captures precisely the first and third components of  $\omega_B(id, f)$  or, equivalently,  $\pm q = \pm (deg(f|F)-1)$  as well as the Nielsen number  $N_B(f,id)$ , taken mod 2. However, it looses all information about the characteristic winding number r which – together with q – determines f and which measures the "vertical" aspect of the generic fixed point circles.

### 2. The $\omega$ -invariants

Given maps  $f_1, f_2 : M \to N$  over B, the definition of  $\omega_B^\#(f_1, f_2)$ ,  $\tilde{\omega}_B(f_1, f_2)$  and  $\omega_B(f_1, f_2)$  (as outlined in the introduction) is completely analoguous to the definition (given in [10] and [9]) of the corresponding invariants for ordinary maps between manifolds (or, equivalently, for maps over  $B = \{point\}$ ). Therefore many of the notions, methods and results of the ordinary (fibration free) coincidence theory allow a straightforward generalization to the setting of fibre preserving maps.

In particular, the proof of theorem 1.1 proceeds in direct analogy to the proof of theorem 1.10 in ([9], pp 213 and 223-224): we just have to replace  $N \times N$  by  $N \times_B N$ . Our ("stable") dimension condition means that the dimension of  $C(f_1, f_2)$ , augmented by 2, is strictly smaller than the codimension in M. Hence here  $\tilde{\omega}_B(f_1, f_2)$  is precisely as strong as  $\omega_B^\#(f_1, f_2)$ , and  $N_B(f_1, f_2) = N_B^\#(f_1, f_2)$ ; nulbordism data can be realized by a suitably embedded manifold in  $M \times I$  with a nonstabilized description of its normal bundle and, above all, without new coincidences occurring in its shadow (cf. [9], p. 224).

Remark 2.1. The interested reader may check when the methods of [9], 1.10 and 4.7, can be generalized to yields the full equality  $MCC_B(f_1, f_2) = N_B(f_1, f_2)$  ("Wecken theorem").

Next let us consider the special case where the target fibration is trivial. Given maps over B,

$$f_i = (p_M, f_i'): M \to N = B \times F_N, i = 1, 2;$$

we see that  $E_B(f_1, f_2)$  can be identified with the path-space  $E(f'_1, f'_2)$  discussed in [9] and [10]. Thus the  $\omega$ -invariants and Nielsen numbers over B of the pair  $(f_1, f_2)$  are equal to the corresponding ordinary (unfibred) invariants of  $(f'_1, f'_2)$ .

# 3. The algebraic Reidemeister classes over B and the space $E_B(f_1, f_2)$

In this section we fix maps  $f_1, f_2 : M \to N$  over B. We will give an algebraic description of the (geometric) Reidemeister set  $\pi_0(E_B(f_1, f_2))$  (compare 1.13). This generalizes and refines the classical approach. As an application we will compute Reidemeister numbers for maps into the Klein bottle.

Choose a coincidence point  $x_0 \in C(f_1, f_2)$  (if it does not exist, the pair  $(f_1, f_2)$  is loose and our initial question 1.2 needs no further answer). Put  $y_0 := f_1(x_0) = f_2(x_0)$  and let  $F_N \subset N$  be the fibre over  $b_0 := p_M(x_0)$ .

Using homotopy lifting extension properties (compare [13], I.7.16) of the (Serre) fibration  $p_N$  we construct a well defined operation

$$(3.1) *_B : \pi_1(M, x_0) \times \pi_1(F_N, y_0) \longrightarrow \pi_1(F_N, y_0)$$

as follows. Given loops  $c:(I,\partial I)\to (M,x_0)$  and  $\theta:(I,\partial I)\to (F_N,y_0)$ , lift the homotopy

$$(3.2) h: I \times I \to B, h(s,t) := p_M \circ c(s),$$

to a map  $\tilde{h}: I \times I \to N$  such that

(3.3) 
$$\tilde{h}(0,t) = \theta(t)$$
,  $\tilde{h}(s,0) = f_1 \circ c(s)$ ,  $\tilde{h}(s,1) = f_2 \circ (s)$ 

for all  $s, t \in I$ . Then the loop  $\theta'$  defined by  $\theta'(t) := \tilde{h}(1, t)$  lies entirely in  $F_N$ . Due to the very special form of h (cf. 3.2) the homotopy class  $[\theta']$  of  $\theta'$  in  $F_N$  (and *not* just in N) depends only on the homotopy classes of c and  $\theta$ . We put

$$[c] *_B [\theta] := [\theta'].$$

DEFINITION 3.1. Two elements  $[\theta]$ ,  $[\theta'] \in \pi_1(F_N, y_0)$  are called Reidemeister equivalent over B if there exists  $[c] \in \pi_1(M, x_0)$  such that  $[c] *_B [\theta] = [\theta']$ .

The algebraic Reidemeister set  $R_B(f_1, f_2, x_0)$  is the resulting set of equivalence classes (i.e. of orbits of the group action  $*_B$  of  $\pi_1(M, x_0)$  on (the set)  $\pi_1(F_N, y_0)$ ).

Its cardinality is called Reidemeister number of  $f_1, f_2$  over B.

There is also the classical group action (without any reference to B)

$$(3.5) *: \pi_1(M, x_0) \times \pi_1(N, y_0) \longrightarrow \pi_1(N, y_0)$$

determined by the induced homomorphisms  $f_{j*}: \pi_1(M, x_0) \to \pi_1(N, y_0), j = 1, 2$ , i.e.

$$[c] * [\theta] := f_{1*}([c])^{-1} \cdot [\theta] \cdot f_{2*}([c])$$

for  $[c] \in \pi_1(M, x_0)$  and  $[\theta] \in \pi_1(N, y_0)$  (compare e.g. [9], 2.1).

In view of the boundary conditions (3.3) of the lifting  $\tilde{h}$  (which takes its value in N and, in general, not already in  $F_N$ ) we see that

$$[c] * i_*([\theta]) = i_*([c] *_B [\theta])$$

for all  $[c] \in \pi_1(M, x_0)$ ,  $[\theta] \in \pi_1(F_N, y_0)$ ; here  $i: F_N \to N$  denotes the inclusion. In particular, the standard action \* (cf. 3.6) restricts to an action of  $\pi_1(M, x_0)$  on  $i_*(\pi_1(F_N, y_0))$ . In general this yields a coarser equivalence relation than the one defined by our action  $*_B$  (e.g. when  $p_M = p_N : S^{2k+1} \to \mathbb{C}P(k)$ ,  $k \ge 1$ , is the Hopf fibration, then  $R_B(f_1, f_2, x_0) = \pi_1(S^1) \cong \mathbb{Z}$ , but  $i_*(\pi_1(F_N)) = 0$ ). However, if  $i_*$  is injective (e.g. when  $\pi_2(B) = 0$ ) then (3.7) can be used to compute

(3.8) 
$$R_B(f_1, f_2, x_0) = \pi_1(F_N, y_0) / \sim *_B \approx i_*(\pi_1(F_N, y_0)) / \sim *.$$

In particular, when  $B = \{b_0\}$  and hence  $F_N = N$ , our definition of an algebraic Reidemeister set coincides with the usual one.

More general injectivity criteria for  $i_*$  may be extracted from the exact sequence

$$(3.9) \cdots \to \pi_2(B, b_0) \to \pi_1(F_N, y_0) \xrightarrow{i_*} \pi_1(N, y_0) \xrightarrow{p_{N*}} \pi_1(B, b_0).$$

Next let us compare our algebraic and geometric Reidemeister sets (cf. definition 3.1 and (1.13)). By definition  $E_B(f_1, f_2)$  is the space of pairs  $(x, \theta)$  where x is a point in M and  $\theta$  is a path in N from  $f_1(x)$  to  $f_2(x)$  which stays entirely in one fibre of  $p_N$ . In view of the very special form of the homotopy h (cf. 3.2) its lifting  $\tilde{h}$  determines a path

$$s \in I \longrightarrow ((c(s), \tilde{h}(s, -)) \in E_B(f_1, f_2)$$

joining  $(x_0, \theta)$  to  $(x_0, \theta')$ . Actually every other path in  $E_B(f_1, f_2)$  which starts and ends in the fibre  $pr^{-1}(\{x_0\}) = \{x_0\} \times \Omega(F_N, y_0)$  of pr (cf. 1.7) can be obtained in this way from some lifted homotopy  $\tilde{h}$  as in (3.2), (3.3). In other words, two classes  $[\theta]$ ,  $[\theta'] \in \pi_1(F_N, y_0)$  are Reidemeister equivalent over B if and only if  $(x_0, \theta)$  and  $(x_0, \theta')$  lie in the same path-component of  $E_B(f_1, f_2)$ .

Thus the map

(3.10) 
$$R_B(f_1, f_2, x_0) \longrightarrow \pi_0(E_B(f_1, f_2))$$
,

which is induced by the fibre inclusion  $\Omega(F_N, y_0) \approx pr^{-1}(\{x_0\}) \subset E_B(f_1, f_2)$  and by the resulting map

$$\pi_1(F_N, y_0) = \pi_0(\Omega(F_N, y_0)) \longrightarrow \pi_0(E_B(f_1, f_2)) ,$$

is injective. It is also onto. Indeed, given any point  $(x, \theta)$  of  $E_B(f_1, f_2)$ , we can pick a path in M from x to  $x_0$  and lift it to a path in  $E_B(f_1, f_2)$  which joins  $(x, \theta)$  to some point in  $pr^{-1}(\{x_0\})$ .

We have showed

THEOREM 3.1. For every pair  $f_1, f_2 : M \to N$  of maps over B and for every choice  $x_0 \in C(f_1, f_2)$  and  $y_0 = f_1(x_0) = f_2(x_0)$  of base points there is a canonical bijection

$$R_B(f_1, f_2, x_0) \approx \pi_0(E_B(f_1, f_2))$$

between the algebraic and geometric Reidemeister sets.

COROLLARY 3.1. The Reidemeister number depends only on the (base point free) homotopy classes of  $f_1$  and  $f_2$  over B.

Indeed, any pair of homotopies  $f_1 \sim f_1'$ ,  $f_2 \sim f_2'$  over B induces a fibre homotopy equivalence  $E_B(f_1, f_2) \sim E_B(f_1', f_2')$  over M (compare [9], 3.2).

EXAMPLE 3.11 (Maps into the Klein bottle). We illustrate the previous discussion by a calculation which we will need in the proof of theorem 1.3.

PROPOSITION 3.1. Consider maps  $f_1, f_2 : M \to K$  over  $S^1$  where M is the torus T or the Klein bottle K (and use the notations (1.26)-(1.28)).

If M = T or q = 0, then the Reidemeister number  $\#R_B(f_1, f_2)$  is infinite. If M = K and  $q \neq 0$ , then

$$\#R_B(f_1, f_2) = \begin{cases} |q|/2 & \text{if } q \equiv 0(2), \ r \neq 0; \\ [|q|/2] + 1 & \text{else.} \end{cases}$$

PROOF. In view of corollary 3.1 we may assume that  $f_1$  and  $f_2$  map  $x_0 = [(0,1)]$  to  $y_0 = [(0,1)]$  (cf. (1.23) and (1.24)). Let us use these base points for computing the algebraic Reidemeister set. Then  $\pi_1(M)$  (and  $\pi_1(K)$ , resp.) is generated by

$$a_M := i_{M*}(g)$$
 and  $b_M := s_{+1*}(g)$ 

(and by  $a := i_*(g)$  and  $b := s_{+1*}(g)$ , resp.) where  $i_M$ , i,  $s_{+1}$  denote fibre inclusions and the section defined in (1.25); g is the standard generator of  $\pi_1(S^1)$ .

Since  $\pi_2(S^1)$  vanishes,  $i_*$  is injective and we have to evaluate only the standard action (3.6) of  $\pi_1(M)$  on  $\pi_1(F_N) \cong \mathbb{Z}$ . Given  $k \in \mathbb{Z}$ , we obtain

$$(3.12) a_M * a^k = a^{k - (q(f_1) - q(f_2))} = a^{k - q};$$

$$b_M * a^k = f_{1*}(b_M)^{-1} \cdot a^k \cdot f_{2*}(b_M) = b^{-1} \cdot a^{-r(f_1)} \cdot a^k \cdot a^{r(f_2)} \cdot b = a^{r(f_1) - r(f_2) - k}$$

where we consider  $r(f_j) \in \{0,1\}$  as an integer so that  $f_{j*}(b_M) = a^{r(f_j)} \cdot b$ , j = 1, 2 (compare (6.1)). Therefore we can interpret  $\pi_1(F_N)/\sim *_B$  (cf. (3.8)) as the orbit set of the involution  $\iota$  on  $\mathbb{Z}/q\mathbb{Z}$  defined by

(3.13) 
$$\iota([k]) := [r(f_1) - r(f_2) - k], \quad [k] \in \mathbb{Z}/q\mathbb{Z}.$$

In particular, its cardinality is infinite if q=0. This is e.g. always the case when M=T, since the map  $f_j|: F_M \to F_N = S^1$  is freely homotopic to its own complex conjugate and hence has degree  $q(f_j)=0, j=1,2$ .

For the remainder of the proof it suffices to consider the case where M=K and q>0. Then

Clearly the fixed point set  $Fix(\iota)$  of  $\iota$  consists just of the solutions of the linear equation

$$2[k] = [r(f_1) - r(f_2)]$$

in  $\mathbb{Z}/q\mathbb{Z}$ . Therefore it is easy to see that

$$#Fix(\iota) = \begin{cases} 1 & \text{if } q \text{ is odd;} \\ 2 & \text{if } q \equiv 0(2), \ r(f_1) = r(f_2); \\ 0 & \text{if } q \equiv 0(2), \ r(f_1) \neq r(f_2). \end{cases}$$

In view of (3.14) this completes the proof.

### 4. Nielsen coincidence classes over B

In this section we extend J. Jezierski's notion of Nielsen classes over B (cf. [6]) in the obvious way from fixed points to coincidences of maps  $f_1$ ,  $f_2$  over B. The resulting decomposition of the coincidence set turns out to correspond precisely to the decomposition of the space

(4.1) 
$$E_B(f_1, f_2) = \bigcup_{A \in \pi_0(E_B(f_1, f_2))} A$$

into path-components and yields the description of

$$(4.2) \ \tilde{\omega}_B(f_1, f_2) \ = \ \{(\tilde{\omega}_B(f_1, f_2))_A\} \ \in \ \Omega_*(E_B(f_1, f_2); \tilde{\varphi}) \ = \ \oplus_A \ \Omega_*(A; \tilde{\varphi}|A)$$

as a direct sum. We will discuss the Nielsen number

$$(4.3) N_B(f_1, f_2) := \#\{A \in \pi_0(E_B(f_1, f_2)) \mid (\tilde{\omega}_B(f_1, f_2))_A \neq 0\}$$

(which counts the nontrivial direct summands of  $\tilde{\omega}_B(f_1, f_2)$ ) and its nonstabilized analogue

$$(4.4) N_B^{\#}(f_1.f_2) := \#\{A \in \pi_0(E_B(f_1, f_2)) \mid (\omega_B^{\#}(f_1, f_2))_A \neq 0\}.$$

In classical fixed point theory (where B consists of a single point) both definitions (4.3) and (4.4) just yield the familiar notion of the Nielsen fixed point number.

DEFINITION 4.1. Let  $f_1, f_2 : M \to N$  be maps over B. Two coincidence points  $x, x' \in C(f_1, f_2)$  are called Nielsen equivalent over B if there exist a path  $c: I \to M$  joining x to x', as well as a homotopy  $\tilde{h}: I \times I \to N$  from  $f_1 \circ c$  to  $f_2 \circ c$  which keeps the end points fixed and such that for each  $s \in I$  the whole image  $\tilde{h}(\{s\} \times I)$  lies in the fibre of  $p_N$  over  $p_M \circ c(s)$ .

PROPOSITION 4.1. The coincidence points x and x' are Nielsen equivalent over B if and only if the map

$$\tilde{g}_B : C(f_1, f_2) \longrightarrow E_B(f_1, f_2)$$

(defined by  $\tilde{g}_B(x) = (x, constant \ path \ at \ f_1(x) = f_2(x))$  takes them into the same path-component A of  $E_B(f_1, f_2)$ . Therefore the Nielsen classes of  $(f_1, f_2)$  over B are just those inverse images  $\tilde{g}^{-1}(A)$ ,  $A \in \pi_0(E_B(f_1, f_2))$ , which are nonempty.

PROOF. (Compare also the proof of theorem 3.1). The data  $(c, \tilde{h})$  in the definition 4.1 represent just another way of describing a path in  $E_B(f_1, f_2)$  from  $\tilde{g}_B(x)$  to  $\tilde{g}_B(x')$ . Indeed, for every  $s \in I$  the pair  $(c(s), \tilde{h}(s, -))$  lies in  $E_B(f_1, f_2)$ , since  $\tilde{h}(s, -)$  is a path joining  $f_1(c(s))$  to  $f_2(c(s))$  in the fibre  $p_N^{-1}(p_M(c(s)))$ .  $\square$ 

COROLLARY 4.1. Each Nielsen class is open and closed in  $C(f_1, f_2)$ .

Indeed, it is not hard to see that each path-component A is open and closed in  $E_B(f_1, f_2)$ .

We want to consider only those Nielses classes which survive (in some sense) all possible B-homotopies of  $f_1$ ,  $f_2$ . We try to detect them with the help of our  $\omega$ -invariants.

After a suitable approximation of  $f_1$ ,  $f_2$  the coincidence set C is a clossed manifold, and so is each Nielsen class  $C_A := \tilde{g}_B^{-1}(A)$ ,  $A \in \pi_0(E_B(f_1, f_2))$ . We call it *strongly essential*, and *essential*, resp., if the corresponding triple  $(C_A, \ \tilde{g}_B|C_A, \ \bar{g}^{(\#)}|C_A)$  of restricted coincidence data is not nullbordant (in the nonstabilized, and stabilized sense, resp.). Define  $N_B^{\#}(f_1, f_2)$  and  $N_B(f_1, f_2)$  to be the resulting numbers of (strongly) essential Nielsen classes.

Theorem 4.1. For all maps  $f_1, f_2 : M \to N$  over B we have:

- i) the Nielsen numbers  $N_B^{\#}(f_1, f_2)$  and  $N_B(f_2, f_1)$  depend only on the homotopy classes of  $f_1$ ,  $f_2$  over B;
  - ii)  $N_B^{\#}(f_1, f_2) = N_B^{\#}(f_2, f_1)$  and  $N_B(f_1, f_2) = N_B(f_2, f_1);$
  - iii)  $0 \le N_B(f_1, f_2) \le N_B^\#(f_1, f_2) \le MCC_B(f_1, f_2) < \infty$  and  $N_B^\#(f_1, f_2) \le \#R_B(f_1, f_2);$
- iv) in classical fixed point theory (over  $B\!=\!point)$  both versions of our Nielsen numbers coincide with the classical notion of the Nielsen numbers.

The proof proceeds as in [9], 1.9, and [10], 1.2.

Unlike the  $\omega_B$ -invariants which lie in (possibly very complicated) bordism sets (varying with  $f_1$ ,  $f_2$ ) our Nielsen numbers are simple numerical looseness obstructions. To what extend are they less powerful?

PROPOSITION 4.2. 
$$N_B(f_1, f_2) = 0$$
 if and only if  $\tilde{\omega}_B(f_1, f_2) = 0$ .

This follows from the direct sum decomposition 4.2.

It is not clear whether the corresponding statement holds for  $N_B^{\#}$  and  $\omega_B^{\#}$ . If  $N_B^{\#}(f_1, f_2) = 0$ , then the Nielsen classes  $C_A$  allow individual embedded nullbordisms in  $M \times I$ . But these may not fit together disjointly to yield an *embedded* nullbordism for all of  $C(f_1, f_2)$  (which is needed to show that  $\omega^{\#}(f_1, f_2) = 0$ ).

#### 5. Relation to Dold's index

In this section we study the special case 1.18 where the two fibrations  $p_M$  and  $p_N$  coincide,  $f_1$  is the identity map id and we are interested in the fixed point behaviour of a map  $f_2 = f$  over B.

We will see that our weakened normal bordism invariant  $p_{M*}(\omega(id, f))$  determines the strongest version of Dold's fixed point index (which generalizes the Lefschetz index, cf. [1]).

First let us describe the Pontrjagin-Thom isomorphism PT (cf. 1.21) which relates these invariants. Given a real number R > 0, let  $\bar{D}^k(R)$  (and  $D^k(R)$ , resp.) denote the compact (and open, resp.) ball of radius R in euclidian space  $\mathbb{R}^k$  and identify the quotient space

$$\bar{D}^k(R)/\partial \bar{D}^k(R) = \mathbb{R}^k/(\mathbb{R}^k - D^k(R))$$

with the sphere  $S^k = \mathbb{R}^k \cup \{\infty\}$  in the standard fashion. Moreover define

(5.1) 
$$E_B^k := B \times \bar{D}^k(R) \subset E^k := B \times \mathbb{R}^k.$$

Then we can interpret the suspension

(5.2) 
$$\Sigma^{k} B^{+} = B \times S^{k} / (B \times \{\infty\}) = E^{k} / (E^{k} - \overset{\circ}{E}_{R}^{k}) = E_{R}^{k} / \partial E_{R}^{k}$$

as a one point-compactification of  $\overset{\circ}{E}{}_{R}^{k}=B\times D^{k}(R).$  Now, given a map

$$u: (\Sigma^k B^+, \infty) \to (S^k, \infty)$$
 ,  $k >> 0$ ,

up to homotopy, we may assume that  $u|\overset{\circ}{E}_{R}^{k}$  is smooth with regular value  $0 \in \mathbb{R}^{k} \subset S^{k}$ . Thus its inverse image  $u^{-1}(\{0\})$  is a smooth submanifold of  $B \times \mathbb{R}^{k}$  whose normal bundle is trivialized via the tangent map of u. The resulting normal

bordism class  $[(u^{-1}(\{0\}), first\ projection, \bar{g}_B)]$  is the value of  $[u] \in \pi^0_{stable}(B^+)$  under the Pontrjagin-Thom isomorphism PT (cf. 1.21; compare 1.10 and 1.20).

Proof of Theorem 1.2. In view of the homotopy invariance of Dold's index I(f) (cf. [1]. 2.9) we may assume that the map  $(id, f) : M \to M \times_B M$  is smooth and transverse to the diagonal  $\Delta$ . Then the fixed point set

(5.3) 
$$C = C(id, f) = (id, f)^{-1}(\Delta)$$

is a smooth submanifold of M with the description

(5.4) 
$$\bar{g}_{B}^{\#}: \nu_{1} := \nu(C, M) \cong TF(p_{M})|C$$

of its normal bundle as in (1.6).

For large k there exists a smooth embedding  $M \subset B \times \mathbb{R}^k$  over B whose normal bundle  $\nu_2 := \nu(M, B \times \mathbb{R}^k)$  can be identified with a "vertical" subbundle of  $T(B \times \mathbb{R}^k)$  which, together with  $TF(p_M)$ , spans the tangent bundle along the fibres of  $B \times \mathbb{R}^k \to B$ . Let  $\bar{V}$  (and V, resp.) be a corresponding compact (and open, resp.) tubular neighborhood of M in  $B \times \mathbb{R}^k$  and consider the composite map

$$\hat{f} : \bar{V} \xrightarrow{projection} M \xrightarrow{f} M \subset B \times \mathbb{R}^k$$

over B. Clearly its fixed point set is also equal to C (cf. 5.3). Hence there exists a radius R>0 such that  $v-\hat{f}(v) \notin B \times D^k(R)$  for every  $v \in \partial \bar{V}$ . Moreover we can pick a radius  $\rho>0$  such that the space  $\bar{V}$  lies in  $B\times \bar{D}^k(\rho)$ . Collapsing its complement and using (5.1) and (5.2) we obtain the composite map

$$(5.6) \qquad \hat{u}: \Sigma^k B^+ = E_\rho^k / \partial E_\rho^k \to \bar{V} / \partial \bar{V} \xrightarrow{id-\hat{f}} E^k / (E^k - \mathring{E}_R^k) = \Sigma^k B^+.$$

Now, according to [1], 2.15, 2.3 and 2.1, Dold's indices of f and of  $\hat{f}|V$  (cf. 5.5) agree and are defined to be the value of  $1 \in \pi^0_{stable}(B^+)$  under the induced homomorphism of  $\hat{u}$ . In other words, we can represent I(f) by the obvious composite map

$$(5.7) u: \Sigma^k B^+ \xrightarrow{\hat{u}} \Sigma^k B^+ \to \Sigma^k (\{point\}^+) = S^k.$$

Let us apply the Pontrjagin-Thom procedure (as described above) to I(f) = [u]. Clearly  $u^{-1}(\{0\})$  is just the fixed point set C of f (cf. 5.3). The trivialization

$$\bar{g}_B: \nu(C, B \times \mathbb{R}^k) = \nu_1 \oplus \nu_2 | C \xrightarrow{\cong} TF(p_M) \oplus \nu_2 | C = C \times \mathbb{R}^k$$

is induced by the tangent map of id-f. On  $\nu_1$  it coincides with  $\bar{g}_B^\#$  (cf. 5.4) and on  $\nu_2$  it is given by the identity map (since f is constant along each normal ball in the tubular neighborhood V of M in  $B \times \mathbb{R}^k$ ). Thus the data  $(C \subset B \times \mathbb{R}^k \to B, \bar{g}_B)$ , k >> 0, which describe PT(I(f)) are just the stabilized coincidence data of (id, f), projected down to B. This proves the identity claimed in theorem 1.2.

Remark 5.8. A key point in the previous proof is the fact that Dold's index remains unchanged by the passage  $f \rightsquigarrow \hat{f}$  (cf. 5.5). This parallels closely the stabilizing transition  $\omega^{\#}(id, f) \rightsquigarrow \tilde{\omega}(id, f)$ .

## 6. $S^1$ -bundles over $S^1$

In this section we study the example 1.22 of the introduction in some detail. In particular, we prove theorems 1.3 and 1.4.

Given possible values q and r of the numerical invariants discussed in Proposition 1.1, let us describe the corresponding map  $f: M \to N$  in standard form: for any element [(t,z)] in the domain (cf. 1.23 or 1.24), the standard map is defined by

(6.1) 
$$f([(t,z)]) = \begin{cases} [(t,e^{2\pi i r t} z^q)] & \text{if } N = T; \\ [(t,(-1)^r z^q)] & \text{if } N = K. \end{cases}$$

Using the linear structures on the universal covering spaces of T and K we see that every map over  $S^1$  can be deformed over  $S^1$  into standard form. This proves Proposition 1.1.

Next we calculate the group  $\Omega_1(M;\varphi)$  in which the (weakened) coincidence invariant  $\omega_B(f_1, f_2)$  of maps  $f_1, f_2 : M \to N$  over  $S^1$  lies. Here  $\varphi$  is trivial if M = N;  $\varphi$  is the pullback  $p_M^*(\lambda)$  of the nontrivial line bundle over  $B = S^1$  if  $M \neq N$  (cf. 1.15).

From [7], theorem 9.3, we obtain the exact sequence

$$(6.2) 0 \to \Omega_1^{fr} \xrightarrow{\delta} \Omega_1(M; \varphi) \xrightarrow{\gamma} \bar{\Omega}_1(M; \varphi) \to 0$$

where  $\gamma$  forgets about stable vector bundle isomorphisms and retains only the corresponding orientation information. If M = N, then  $\gamma$  maps the classical framed bordism group  $\Omega_1^{fr}(M)$  to the oriented bordism group  $\Omega_1(M) \cong H_1(M; \mathbb{Z})$ , and the obvious forgetful homomorphism  $\Omega_1^{fr}(M) \to \Omega_1^{fr}$  yields a

splitting of 6.2. If  $M \neq N$  then a splitting can be extracted from the exact Gysin sequence

(6.3) 
$$\Omega_1^{fr}(M) \xrightarrow{d} \Omega_1^{fr}(\tilde{M}) \xrightarrow{proj_*} \Omega_1(M;\varphi) \to 0$$

where  $\tilde{M}$  is the double cover (or  $S^0$ -bundle) corresponding to the line bundle  $\lambda_M := p_M^*(\lambda)$  over M, d takes double coverings and proj denotes the obvious projection. (This is essentially the exact sequence of the pair  $(\lambda_M, \lambda_M - s_0(M))$  and uses the Thom isomorphism

$$\Omega_i(\lambda_M, \lambda_M - s_0(M); -\lambda_M) \cong \Omega_{i-1}^{fr}(M)$$

obtained by intersecting transversely with the zero section  $s_0$  of  $\lambda_M$ ).

Recall that any connected closed smooth 1-manifold S can carry two distinct stable framings:

- (i) the invariant framing obtained from a *nonstable* parallelization  $TS \cong S \times \mathbb{R}$  (which is essentially invariant under rotations along the circle  $S \cong S^1$ ); and
- (ii) the boundary framing induced from a disk D which bounds  $S = \partial D$ . The corresponding bordism classes are 1 and 0, resp., in  $\Omega_1^{fr} \cong \mathbb{Z}_2$ .

Now we can describe the direct sum decomposition of  $\Omega_1(M;\varphi)$  in theorem 1.4. The projection to the first (and the second, resp.) component group is obtained via intersecting circles in M with the fibre  $F_M$  (and with the section  $s_{-1}(B)$  at -1, resp.); the three direct summands are generated by the circles  $s_{+1}(B)$  and  $F_M$  (both with the boundary framing) and by

(6.4) 
$$\delta(1) := [(invariantly framed S^1, constant map)].$$

In order to compute the summands of  $\omega_B(f_1, f_2)$  (corresponding to this decomposition of  $\Omega_1(M;\varphi)$ ) we may assume that  $f_1$ ,  $f_2$  are in standard form (cf. 6.1). Then the pairs  $(f_1, f_2)$  and  $(f := f_1 \circ f_2^{-1}, f_0 := f_2 \circ f_2^{-1} = s_{+1} \circ p_M)$  have the same coincidence locus C which consists of "parallel" circles in M. (Here we use fibrewise complex multiplication of standard maps; it is compatible with the gluing diffeomorphisms of T and K, cf. 1.23 and 1.24). The transverse intersections of C with  $F_M$  and  $s_{-1}(B)$  determine q and r (as indicated in the first two columns concerning  $\omega_B(f_1, f_2)$  in the table of theorem 1.4; the correction terms 1 and  $\rho_2(q)$  result from the fact that the sections  $s_{+1}$  and  $s_{-1}$  have each a self-intersection in K).

Furthermore each circle S in the coincidence locus C is invariantly framed and hence contributes nontrivially to the third component of  $\omega_B(f_1, f_2)$ ; it constitutes

a full Nielsen class which therefore must be essential (see also the following proof). This establishes theorem 1.4.

**Proof of Theorem 1.3.** If  $N = S^1 \times S^1$ , we are in the special case of a product fibration (c.f. 1.17), and our coincidence theory of maps  $f_1, f_2$  over B reduces to the classical coincidence theory of their projections  $f'_1, f'_2$  to the fibre  $S^1$ . But this situation has been thoroughly discussed in [9], theorem 1.13 and section 6, where even the fibre homotopy type of  $E(f'_1, f'_2)$  over M is described. In particular, the Reidemeister number is just the cardinality of the cokernel of the induced homomorphism

$$f'_{1*} - f'_{2*} : H_1(M, \mathbb{Z}) \to H_1(S^1, \mathbb{Z}) \cong \mathbb{Z}$$

whose image is generated by the greatest common divisor of  $(q(f_1) - q(f_2))$  and  $(r(f_1) - r(f_2))$ . The Reidemeister number equals  $MCC(f'_1, f'_2) = N(f'_1, f'_2)$  except in the selfcoincidence case  $f_1 \sim_B f_2$  when  $(f_1, f_2)$  is loose (cf. [9], 1.13).

If N=K the only sections (up to homotopy) of  $p_N$  are  $s_\epsilon$ ,  $\epsilon=\pm 1$  (cf. 1.25); each can be deformed away from itself until it has only one selfintersection point in K. Therefore, if maps  $f_i:M\to K$  over  $S^1$  are homotopic to  $s_{\epsilon_i}\circ p_M$ , i=1,2, (e.g. if M=T), their coincidence data can be represented by a whole fibre (or by  $\emptyset$ , resp.) when  $\epsilon_1=\epsilon_2$  (or  $\epsilon_1\neq\epsilon_2$ , resp.), and  $MCC_B(f_1,f_2)=N_B^\#(f_1,f_2)=N_B(f_1,f_2)$  equals 1 (or 0, resp.).

It remains to study the coincidence behaviour of maps  $f_1, f_2 : K \to K$  over  $S^1$  in standard form or, equivalently, of maps  $f_1 \circ f_2^{-1} =: f$  and  $f_2 \circ f_2^{-1} = s_{+1} \circ p_K =: f_0$  (here we use fibrewise complex multiplication). In view of the previous paragraph we may assume  $q \neq 0$ . Then the locus  $C(f_1, f_2)$  consists of "horizontal" circles which are "parallel" to the sections  $s_{\pm 1}$  and intersect each fibre  $S^1$  in  $\eta, \eta z_1, ...., \eta z_1^{|q|-1}$  where  $z_1 = e^{2\pi i/|q|}$  and  $\eta = e^{\pi ir/|q|}$  for r = 0, 1.

Given  $0 \le k < k' < |q|$ , we need to know when the coincidence points  $\eta z_1^k, \eta z_1^{k'}$  are Nielsen equivalent over B. This happens precisely if there is a path  $c = l \cdot \hat{c}$  from  $\eta z_1^k$  to  $\eta z_1^{k'}$  in K (consisting of a loop l at  $\eta z_1^k$ , followed by a path  $\hat{c}$  in the fibre) such that  $f \circ c = (f \circ l) \cdot (f \circ \hat{c})$  is homotopic to  $f_0 \circ c \sim f_0 \circ l$  keeping end points fixed. In other words, the loop  $f \circ \hat{c}$  which winds k' - k + jq,  $j \in \mathbb{Z}$ , times around the fibre is Reidemeister equivalent to the trivial loop. Since  $\pi_2(S^1) = 0$  (cf. 3.8) this means that k' is equal to k or to  $-k + ((-1)^r - 1)/2$  mod |q|, or, equivalently, that  $\eta z_1^k$  and  $\eta z_1^{k'}$  lie in the same coincidence circle (due to the glueing reflection of K). Thus each Reidemeister class corresponds to an essential Nielsen class which consist of a single "horizontal" circle with winding number  $\pm 1$  or  $\pm 2$  with respect to the base  $S^1$ .

Recall that the Reidemeister numbers were computed in Proposition 3.1.

Remark 6.5. It is intriguing to compare the roles of the involution  $\iota$  (in the proof of proposition 3.1) on the one side and of complex conjugation (in the proof above) on the other side.

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